

March 2004

## Minutes March 23, 2004

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**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Rome Commons Ballroom  
South Campus Complex  
Storrs, Connecticut

March 23, 2004

**OPEN SESSION**

The meeting was called to order at 11:30 a.m. by Chairman John Rowe. Trustees present were: James Abromaitis, Philip Barry, Andrea Dennis-LaVigne, Linda Gatling, Lenworth Jacobs, Michael Martinez, Denis Nayden, Michael Nichols, Thomas Ritter, Richard Twilley, and Brenda Sisco, who represents the Governor's Office.

Trustees Louise Bailey, William Berkley, Michael Cicchetti, Bruce Gresczyk, David O'Leary, Betty Sternberg, and Richard Treibick were absent from the meeting.

University staff present were: President Austin, Provost and Executive Vice President for Academic Affairs Petersen, Executive Vice President for Health Affairs Deckers, Vice President and Chief Financial Officer Aronson, Vice President and Chief Operating Officer Flaherty-Goldsmith, Vice President for Operations Dreyfuss, Senior Vice Provost for Academic Affairs Maryanski, Interim Vice President for Student Affairs John Saddlemire, Vice Provost for Multicultural Affairs Taylor, Associate Provost for Enrollment Management Evanovich, Health Center Chief Financial Officer Upton, Health Center Chief Administrative Officer Whetstone, Health Center Chief of Staff Carlson, Athletic Director Hathaway, Dr. Schurin, Special Assistant to the President Callahan, and Ms. Locke. Assistant Attorney General McCarthy was present. Faculty Senate Representatives Gregory Anderson, Stanley Biggs, and Kent Holsinger were also present.

**EXECUTIVE SESSION**

On a motion by Dr. Rowe, seconded by Dr. Jacobs, **THE BOARD VOTED** to go into Executive Session at 11:40 a.m. to discuss matters pertaining to collective bargaining with the University of Connecticut Professional Employees Association (UCPEA) and collective bargaining at the Health Center. The Chairman noted that on the advice of counsel only staff members whose presence was necessary to provide their opinion would be permitted to attend Executive Session.

Trustees present were: Abromaitis, Barry, Dennis-LaVigne, Gatling, Jacobs, Martinez, Nayden, Nichols, Ritter, Rowe, Twilley, and Sisco, who represents the Governor's Office.

University staff present were: President Austin, Provost and Executive Vice President for Academic Affairs Petersen, Executive Vice President for Health Affairs Deckers, Vice President and Chief Financial Officer Aronson, Vice President and Chief Operating Officer Flaherty-Goldsmith, Vice Chancellor for Business and Administration Dreyfuss, Health Center Chief Administrative Officer Whetstone, Health Center Chief of Staff Carlson, and Dr. Schurin. Assistant Attorney General McCarthy was also present.

Executive Session ended at 12:10 p.m. and the Board returned to Open Session at 1:05 p.m. Trustee Bruce Gresczyk and Faculty Senate Representative Robert Tilton joined the meeting at this time. Trustee O'Leary participated by telephone.

All actions taken were by unanimous vote of the Trustees present.

March 23, 2004

**OPEN SESSION****1. Public Participation**

The following members of the public addressed the Board on the topic noted:

- Professor Gary Robbins, Department of Geology and Geophysics

Professor Robbins expressed concerns regarding the proposed dissolution of the Department of Geology and Geophysics and asked that the Board of Trustees table any decision pending a detailed review of the facts, costs and benefits, and other alternatives. Professor Robbins cautioned against the elimination of the UConn's long-standing undergraduate and graduate degree programs. He stressed that every member of the Department is dedicated to providing the best education to undergraduate and graduate students. Despite being handicapped for many years with poor physical conditions in Beach Hall, faculty members have made valuable contributions to the University. Many have received awards for their University-related and professional efforts, have conducted cutting-edge and valuable research leading to patents, and have served on committees for government and professional societies. Faculty members have also provided critical assistance to the State and Federal agencies, local communities, and the public. Faculty research has influenced government policy in Connecticut as well as other states and the federal government. UConn students are highly sought after for their geological skills and many, if not most, go on to work in the environmental field for engineering companies, industry, and regulatory agencies. Professor Robbins agreed that changes are needed and that faculty members have differing points of view about the future of the Department. He requested that the Board of Trustees implement a fact-finding assessment before making a final decision on this matter.

- Professor Anthony Philpotts, Department of Geology and Geophysics

Professor Philpotts raised concerns about the external review of the Department. He believed that the primary function of a Department is to provide the best education for its students. The review committee stated that the Department "needs to examine existing offerings to incorporate modern information technology, for example, GIS, GPS, numerical modeling, etc." He stated that the committee was clearly unaware that in each of these fields the Department was the first in the nation to introduce these topics into an undergraduate curriculum. He referenced items 1 through 16 in his handout. He recommended that a committee, such as the one the Dean has recently formed, conduct a review to determine the best course of action. If the committee concludes that dissolution of the Department is in the best interest of the program, then he would support it.

- Mr. Robert Sernoffsky, Environmental Consultant and Graduate Student

Mr. Sernoffsky presented to the Board a petition opposing the recommendation to dissolve the Department of Geology and Geophysics. The petition was supported by approximately 4,000 individuals who represent the student body, future employers, tax-paying professionals, and educators throughout the world. He questioned the accuracy and completeness of the information that was used in the final decision to dissolve the Department. Mr. Sernoffsky suggested that the focus should be to strive to make the Department better. The University's academic plan, which dictates expenditures necessary to bring UConn into the top tier of public universities, highlights that academic excellence demands effective planning. Mr.

March 23, 2004

Sernoffsky stated that this recommendation fails to exhibit the strategic decision-making our State has come to expect for its flagship University. He further noted that UConn must continue to exemplify the ingenuity and resourcefulness that has always distinguished our State and its citizens.

- Ms. Michele Fitzpatrick, Graduate Student Representative, Geosciences Planning Committee and first-year Ph.D. candidate in geophysics

Ms. Fitzpatrick discussed her concerns about the recommendation to abolish the Department of Geology and Geophysics. The geosciences graduate students acknowledge the need for UConn's geosciences program to move forward and fully support the Geosciences Planning Committee's charge to make a recommendation within the broad umbrella of a realistic geosciences program in the College of Liberal Arts and Sciences. However, the geosciences graduate students are deeply concerned with the recommendation to dissolve the Department before the Planning Committee has had a chance to make recommendations concerning the future of the geosciences program. Abolishing the Department at this time would mean that the geosciences faculty and graduate students would be relocated to new departments next fall. Once the Planning Committee makes their recommendations, these same faculty and students may find themselves moving yet to a different department creating a second major disruption. While they understand that change is necessary and disruptions cannot be avoided completely, they request the Board take action to minimize the disruptions by postponing the decision to abolish the Department until after the Planning Committee makes its recommendation for the future.

- Mr. Richard Hathaway, alumnus

Mr. Hathaway raised concerns about the proposed closing of the Department of Geology and Geophysics. He graduated from UConn in 1975 with a bachelor's degree in geology and is employed by Diversified Technology Consultants in North Haven, Connecticut as manager of their environmental program. He stressed that UConn graduates are an important resource for Connecticut firms. He also spoke on behalf of Ms. Lila Salvatore, who has both a bachelor's and a masters' degree in geology from UConn and is the founder of the Nugget Fund, which is an endowment that defrays undergraduate costs to attend the Departments Geology Field School. She now lives in New Orleans as a petroleum geologist. She raised concerns about the future of the Fund. They had hoped that the Department would remain intact and provide others the opportunity to study in Connecticut.

- Professor Raymond Joesten, Department Head, Department of Geology and Geophysics

Professor Joesten informed the Board that last summer Dean MacKinnon offered him the position as Department Chair. Dean MacKinnon challenged him to work with the faculty to formulate a response to the external review and to develop a vision for the future of the geosciences program. Professor Joesten emphasized that it was crucial that the Department take advantage of the large number of faculty at UConn who have an interest in the geosciences and to focus on developing a modern program, which would best meet the needs of the students and of the problems crucial in today's society. The Department was given the choice to succeed and lead the effort to develop a strong interdisciplinary geosciences program or be dissolved. The Department prepared a short-term plan, but failed to articulate a long-term plan addressing the concerns of the external review. Professor Joesten stated that he

March 23, 2004

looked forward to working with many of his colleagues on the new Geosciences Planning Committee. He urged the Board to accept the recommendation to dissolve the Department.

- Ms. Addie May Nesbitt,

Ms. Nesbitt discussed her allegations of discrimination by the administration over her status as a home-schooled student and later as a branch student. She expressed concerns about the School of Medicine's application review process and the lack of explanation about why her application was subject to a second review. She stated that because of this delay, she will not have the opportunity to be accepted with rolling admissions. Ms. Nesbitt was also concerned that other medical schools would see the delay as a problem and conclude that UConn knows something about her that makes her unsuitable as a first choice applicant. Ms. Nesbitt provided Chairman Rowe with supporting documentation.

Dr. Rowe questioned Ms. Nesbitt's statement that UConn has a shortage of students applying for Medical School and asked Dr. Deckers to address her concerns. Ms. Nesbitt responded that she used data from the American Association of Medical Colleges' website.

Dr. Rowe asked how many medical student applications UConn had received and for how many positions. Dr. Deckers responded that they have received approximately 2,500 applications. Each year they typically interview approximately 360-400 students for 80 positions.

Dr. Rowe noted that while there may be a reduction in the number of students applying nationwide, UConn has 2,500 applications for 80 positions. With respect to the second issue, Dr. Rowe responded that he was formerly the president of a school of medicine for thirteen years and he was not familiar with the experience she explained. He noted that if her application was being considered by UConn, then it should not adversely affect her ability to get admitted to another medical school. He agreed that if she were rejected in a timely manner, then that might affect the decisions of other medical schools, but because she was still under consideration, she should not feel that the process would adversely affect her.

## 2. **Chairman's Report**

- (a) Matters outstanding

Dr. Rowe stated that since the last Board meeting, the Board Committees have worked very actively with the administration. Committee Vice-Chairs will discuss specific goals and objectives for the academic year.

Dr. Rowe discussed the establishment of the Joint Audit and Compliance Committee as a full committee of the Board, which evolved from the Audit Subcommittee. The Joint Audit and Compliance Committee was established in order to combine the activities of the Health Center and the Storrs-based programs, including the regional campuses. In addition, they have broadened the audit mission to include compliance, risk management, and ethics issues. Vice-Chair Nayden and his colleagues as well as Ms. Leonardi and the Health Center Board of Directors have been working diligently with the administration to develop a state-of-the-art, best practice approach. He thanked his colleagues on the Board for the substantial amount of time that they have dedicated to these efforts.

Dr. Rowe congratulated Mr. O'Leary, Mrs. Gatling, and Mr. Ritter on their Board confirmations by the State Legislature.

March 23, 2004

- (b) Recommendations for Designation as Board of Trustees  
Distinguished Professor, Academic Year 2003-2004 (Attachment 1)

Dr. Rowe reported that in 1998 the Board created this title to honor faculty who are tenured full professors at the University. The By-Laws stipulate that no more than 5% of that group can be awarded this designation. Each year up to five faculty are selected by a Committee that includes faculty, students, a dean, and a senior member of the Provost's staff. A reception will be held in their honor on April 8 at 3:00 p.m. in the Alumni Center. Dr. Rowe invited Board members to join.

On a motion by Mr. Twilley, seconded by Mr. Nichols, **THE BOARD VOTED** to approve the resolution for the Board of Trustees Distinguished Professors.

**UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES  
DISTINGUISHED PROFESSOR**

**WHEREAS**, Professors William F. Fitzgerald, Bahram Javidi, James R. Marsden, Lawrence G. Raisz, and Howard Tennen have had illustrious academic careers while Professors at the University of Connecticut; and

**WHEREAS**, They have established themselves as national leaders in their fields of scholarship, thereby bringing national and international recognition not only to themselves and their academic departments, but to the University of Connecticut as a whole; and

**WHEREAS**, They have presented themselves as outstanding teachers and mentors and guides to both graduate and undergraduate students; and

**WHEREAS**, They have been models of exemplary service to their disciplines, the University of Connecticut, and their state and nation; and

**WHEREAS**, They have been selected for this award as a result of an arduous selection process from among the most outstanding of their University of Connecticut Full Professor peers;

**THEREFORE, BE IT RESOLVED**, That the University of Connecticut Board of Trustees congratulates them on their outstanding long term achievements to the University of Connecticut; and commends their dedication to their professions, their students, their institution and their country; and

**BE IT FURTHER RESOLVED**, That the University of Connecticut Board of Trustees hereby confers upon Professors Fitzgerald, Javidi, Marsden, Raisz, and Tennen the title of "University of Connecticut Board of Trustees Distinguished Professor."

Provost Petersen introduced to the Board each Board of Trustees Distinguished Professor from the Storrs-based programs and Dr. Deckers introduced two faculty members from the Health Center. Each gave a brief presentation of their research activities. Dr. Raisz could not be present, but provided a video.

- (c) Minutes of the meeting of December 2, 2003

On a motion by Mr. Martinez, seconded by Mr. Twilley, **THE BOARD VOTED** to approve the minutes of the meeting of December 2, 2003.

March 23, 2004

## (d) Consent Agenda Items:

On a motion by Mr. Twilley, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the following items listed on the Consent Agenda.

- (1) Contracts and Agreements for the Storrs-based programs (Attachment 2)  
 Dr. Rowe directed Trustee attention to an amended contract for Cushman and Wakefield (item #1) on the Contracts and Agreements list. (The amended resolution approved by the Board appears in Attachment 2).
- (2) Policies Regarding Professional Services and Contractors: UCONN 2000 and Other Capital Projects – Revised (Attachment 3)
- (3) Policy for Negotiation of Construction Bids – Revised (Attachment 4)
- (4) Water and Sewer Rate Increase (Attachment 5)
- (5) FY 2004-2005 Rental Rates for Residential Properties (Attachment 6)
- (6) Bachelor of General Studies On-Line within the College of Continuing Studies (Attachment 7)
- (7) Master of Science Degree in Applied Microbial Systems Analysis within the Graduate School (Attachment 8)
- (8) Creation of a Department of Public Policy within the College of Liberal Arts and Sciences, Located in the Tri-Campus (Attachment 9, as amended)  
 Dr. Rowe directed Trustee attention to the amended resolution in which the background materials were modified to reflect prior discussion in the Academic Affairs meeting. The background materials have been revised so that there would be no expressed intent in which the creation of a Department of Public Policy would lead to the establishment of a school. (The amended resolution approved by the Board appears in Attachment 9).
- (9) Candidates for Commencement (Attachment 10)
- (10) Memorandum of Agreement Between the University of Connecticut and the American Association of University Professors for a One-Year Extension of the Intersession and Summer Session Agreements (Attachment 11)
- (11) Memorandum of Agreement Between the University of Connecticut and the University of Connecticut Professional Employees Association (Attachment 12)
- (e) Personnel matters (Storrs-based programs) (Attachment 13)

## (1) Promotion, Tenure, and Reappointment lists

On a motion by Mr. Martinez, seconded by Mr. Twilley, **THE BOARD VOTED** to approve the promotion, tenure, and reappointment list and the sabbatic leave list.

- (2) Sabbatics
- (3) Informational matters

March 23, 2004

(f) Other matters

### 3. President's Report

(a) Honorary Degrees

President Austin announced the details of the Commencement ceremonies beginning May 8. Dr. Iognaid G. Muircheartaigh, President of the National University of Ireland-Galway will be awarded an honorary Doctor of Science at the Graduate Ceremony on May 8. The Graduate Commencement speaker will be Dr. Gene Likens, who is an ecologist and President of the Institute of Ecosystems Studies. He will be awarded an honorary Doctor of Science.

On May 9, the following will receive honorary degrees at the Undergraduate Ceremonies: For the morning Undergraduate ceremony, David Macaulay, graphic artist, illustrator, and author will be awarded a Doctor of Fine Arts. Dr. Linda Darling-Hammond, education policy analyst and professor at Stanford, will be awarded a Doctor of Humane Letters. Dr. Peter W. McFadden, emeritus professor and former Dean of Engineering and Executive Assistant to the President, will receive a University Medal. Chairman Rowe will be the Undergraduate speaker.

At the Health Center, an honorary degree will be awarded to Dr. Gerhard Giebisch, Sterling Professor of Cellular and Molecular Physiology at Yale University on Sunday, May 16. The speaker will be Dr. Francisco Jimenez, Professor of Modern Languages at Santa Clara University. On Sunday, May 23, Mr. Dennis W. Archer, President, American Bar Association will be the speaker and will be awarded a Doctor of Laws.

On a motion by Mr. Martinez, seconded by Dr. Jacobs, **THE BOARD VOTED** to approve the list of honorary degree candidates for the May 2004 Commencement ceremonies.

(b) Report on the Academic Plan – Provost John Petersen

President Austin asked Provost Petersen to give a report on the Academic Plan. Provost Petersen directed Trustee attention to the Academic Plan Implementation Strategy, Major Academic Capital Projects, and the Capital Equipment for FY '05 and Faculty Hiring handouts at Trustees' places. Last year the administration was charged to establish an academic plan committee that was co-chaired by Special Assistant to the Provost Karla Fox and Dr. Richard Brown, Professor of History and Director of the Humanities Institute. In addition, 17 faculty members were involved in the process. The Academic Plan Implementation Strategy includes six general areas that represent the strengths of the institution; they include: 1) arts, culture and society from a local to global perspective; 2) environmental sustainability; 3) health and human service systems; 4) innovations in science and technology; 5) life sciences; and 6) undergraduate enrichment. Provost Petersen stressed that the focus was not necessarily to identify those areas, but to seek excellent opportunities within those areas to invest University funds. They sought to develop areas in which the University has inherent strength and to also look at the national and international trends. The Committee also considered the types of issues that will have a tremendous impact on the State's economy.

Provost Petersen announced the appointment of Professor Suman Singha as Vice Provost for Academic Programs. Professor Singha has established a Steering Committee involving six faculty members in the Provost's Grant Competition; they include: Professor Frank

March 23, 2004

Costigliola, Professor John (Jack) Clausen, Professor Charles (Skip) Lowe, Professor Robert Weiss, Professor Carolyn Teschke, and Associate Vice Provost Lynne Goodstein. The Committee has set stringent guidelines and timelines for the initial competition of proposals. Provost Petersen targeted \$1.5 million for the competition, but the amount is not restricted and will depend largely upon the selected proposals. The competition seeks to fund approximately three or four large grants that have great potential. He noted that an announcement will be sent out in about a week and the deadline for pre-proposal submission is May 15. By mid-June, applicants will receive notification to submit full proposals. The deadline for submission of full proposals will be the beginning of the fall semester and by mid-fall there will be an announcement of the finalists.

This competition will encourage faculty to seek internal partnerships within their own departments or with colleagues in similar fields in order to develop proposals that will build upon interdisciplinary expertise. The Committee will be involved in helping to establish benchmarks that will be used to ensure institutional funds are utilized in a manner consistent with the strategic goals.

Provost Petersen referenced the Capital Equipment for FY '05 handout that illustrated this year's capital investments. A large part of the budget is needed to provide for Information Technology infrastructure on campus. Interim Vice Chancellor for Information Services Bell was asked to make recommendations to the administration through the Buildings and Grounds Committee for a Technology Plan. The first round of the plan will require \$19.2 million. For this year, \$6.8 million has been allotted.

He then directed Trustee attention to the Capital Programs FY'05 handout, in which Mr. Bell's revised budget was attached. Mr. Bell identified funds needed to build the capacity necessary taking into account operational and academic needs. The Capital Program list also includes allotments for library journals and books; facilities and operations; administration; and academic programs.

Provost Petersen referenced page 5 of the Capital Programs FY '05 handout, which represented a spreadsheet summary of the projects listed in more detail on the remaining pages. He clarified that the total does not represent the amount of equipment that will be transferred into this program through hiring new faculty and the start-up costs for new faculty to facilitate their research. The deans will also allocate funds along with Vice Provost Janet Greger's office through indirect costs, which will represent another \$2 million. The last piece will come from federal earmarks that we have been able to generate. The details for the projects listed on the spreadsheet illustrate the linkages between equipment money and the Academic Plan areas, where most of faculty that will be hired. While the majority of the faculty hires will be in targeted areas under the Academic Plan, several will fulfill the educational mission and other areas such as enrollment.

Chairman Rowe questioned the limited amount of funds allotted for capital equipment, which is estimated at \$2.5 million. Provost Petersen said that in addition it is probable that Vice Provost Greger will contribute approximately \$2 million in addition to potential dollars from the schools and colleges that will be hiring new faculty members.

Chairman Rowe suggested that it would be helpful to get an allocation of capital across different areas and break it down into either replacement capital of infrastructure or new

March 23, 2004

investments in new areas. Provost Petersen said that it could be prepared for the next Board meeting in anticipation that the hiring season will be over at that point.

Provost Petersen directed Trustee attention to the last handout – Strategic Priorities: Major Academic Capital Projects. He identified the projects in the order in which they appeared on the proposed implementation plan. He explained that the list identified the projects, reason for renovations, and how they relate to the Academic Plan. Provost Petersen stated that the renovations are not only needed to build research and scholarly capacity, but also for increased enrollments, classroom safety and other issues.

Chairman Rowe stressed the importance of integrating capital expenditures with the Academic Plan goals and objectives.

Dr. Jacobs asked Provost Petersen to update the Board on the Freshman Retention Task Force, which is in response to President Austin's charge to increase the number of valedictorians and salutatorians to the University. With respect to freshmen retention efforts, Provost Petersen asked Associate Provost Evanovich to address those numbers. Associate Provost Evanovich suggested at a later date he could give a brief presentation to the Board on some of the statistics over the past seven or eight years in relation to our rise in SAT scores, freshmen-to-sophomore year retention rates, four-year and six-year graduation rates, and the valedictorian and salutatorian enrollments over the past five years per the President's request.

Provost Petersen responded that the retention rate for freshmen increased from 77% in 1992 to 88% in 2002. The mean SAT scores for the entering freshmen class has risen from 1149 in 2002 to 1167 in 2003. Associate Provost Evanovich added that SAT scores have risen from 1113 in 1995 to 1167 in 2003.

Chairman Rowe asked if those numbers represented students at Storrs. Associate Provost Evanovich responded that they did. Chairman Rowe asked about the entire University. Associate Provost Evanovich responded that he did not have the exact figures for the entire institution, but estimated that they would be lower.

Provost Petersen also reported that the number of valedictorians and salutatorians were approximately 38 in AY 01-02, 56 in AY 02-03, and 75 in AY 03-04. This year a new program was implemented which considers entering students and our competition. In addition to financial assistance for this year's valedictorians and salutatorians, the University has also developed a plan for students to connect with faculty members and pursue scholarly work. The intent is to place good faculty with excellent students in an effort to increase our ability to attract more valedictorians and salutatorians.

Dr. Rowe noted that the materials distributed outlined over \$300 million worth of academic capital projects and about \$19 million in capital is identified for Fiscal year 2005. He also stated that there is the Committee overseeing the Academic Plan Implementation will be distributing \$1.5 million in grants to different programs. He suggested that the administration free up and strategically deploy a greater part of the \$700 million in operating funds. He understood that the administration has many restrictions regarding the use of operating funds. Dr. Rowe confirmed that this will be a difficult challenge.

Provost Petersen noted that as with most institutions, the major part of the University's budget is personnel costs. At this juncture, it is important to change the way departments replace

March 23, 2004

vacancies. The emphasis should be on redirecting personnel costs to future programs and not on replicating former positions.

- (c) Other matters

**4. Academic Affairs Committee Report**

- (a) Committee Chairman's report on Committee activities

Vice-Chair Jacobs reported that the Academic Affairs Committee met this morning and reviewed much of the documentation Provost Petersen described previously. Dr. Jacobs stated that the primary goal is to define academic excellence from the standpoint of the students and the faculty. The University will develop the means to assess our progress as we go forward utilizing specific metrics.

- (b) Discussion of Committee Goals and Objectives

- (c) Items requiring Board discussion and approval:

- (1) Abolition of the Department of Geology and Geophysics  
within the College of Liberal Arts and Sciences (Attachment 14)

Provost Petersen asked Dean MacKinnon to provide an overview of the process leading up to the recommendation to dissolve the Department of Geology and Geophysics. Dean MacKinnon informed the Board that this recommendation was very difficult to make. The external review, completed almost two years ago, has been referred to on a number of occasions. He referenced a specific section of the review titled "Issues, Problems, Opportunities Arising from the Self-Study." Dean MacKinnon stated that every department and every program receive an external review on a seven-year cycle. The goal is to have reviewers come in and look at the self-study, interview faculty and students, and make recommendations about the status of the particular program as compared to other programs at similar universities across the country. This is part of the regular evaluation process. He took the following directly from the external review: 1) lack of a strategic plan; 2) a tradition of inconsistent hiring; 3) no obvious internal candidate for a department head; 4) evolution of the department: should have active liaisons with other programs to modernize itself; 5) department inaction: lack of ability to come together to decide on a plan; 6) building and equipment – Dean MacKinnon indicated that the institution has to take responsibility for this aspect because Beach Hall is in need of serious renovations, but we also have other very high quality programs who have been working under similar situations and have been thriving in spite of poor conditions; 7) isolation in the university – not well connected within the university; 8) department dynamics: faculty within the department agree on very little; they do agree that the department has no sense of direction; 9) alumni relations – should be more active in cultivating alumni relations.

Given the concerns raised by the external evaluation, he could not support the costs associated with replacing faculty and staff who retired and resigned, nor bring the Department up to full capacity. The goal is to seek ways to deliver quality geosciences programs at UConn. Because this endeavor is a high priority, they have established the Geosciences Planning Committee that will meet throughout the

March 23, 2004

remainder of the semester and make recommendations for an interdisciplinary program in geosciences.

Provost Petersen reiterated Professor Joesten's concerns about the lack of cohesiveness in the Department over the past two years. The Dean and others have tried to work with the Department on some of these issues. He stressed that the focus was to provide a quality geosciences program at the undergraduate and graduate levels in all of the interdisciplinary areas. Provost Petersen expressed concern that the University may fall behind in this area. Provost Petersen fully supported the Dean's decision as it is in the best interest of the institution.

President Austin reiterated that the decision is consistent with what the Board has charged the administration, which is to identify those areas of high student demand, excellence or the potential to achieve excellence and national standing, and to reallocate resources to those ends. In addition, the University must also keep in mind our mission to the State's economy. President Austin noted that many faculty are in support of the development of a more interdisciplinary approach to the geosciences program whereby faculty from the biologies, marine sciences, and other environmentally-based departments across campus can work together. He expressed his support and commended Dean MacKinnon for his leadership.

Vice-Chair Jacobs noted that they heard significant discussion this morning during the Academic Affairs Committee and then considerable discussion and testimony this afternoon during the full Board meeting.

On a motion by Dr. Rowe, seconded by Mr. Twilley, **THE BOARD VOTED** to approve the abolition of the Department of Geology and Geophysics within the College of Liberal Arts and Sciences.

Chairman Rowe thanked Provost Petersen and Dean MacKinnon for their comments. He found that the process was appropriate, the findings of the process fully supported the recommendation, and that this action is fully consistent with our renewed commitment to focus on discipline and excellence as we deploy resources.

(2) Presentation: Carnegie Corporation - *Teachers for a New Era* Program

Provost Petersen introduced Professor Scott Brown, who provided a brief presentation. He noted that UConn is one of eleven institutions across the country that received support in this new endeavor by Carnegie Corporation of New York and by the Annenberg and Ford Foundation. The University was invited because of the stature that both our College of Liberal Arts and Sciences and our Neag School of Education have in this area and also because of the excellent retention of our faculty, many of whom are alums.

Professor Brown described briefly the *Teachers for a New Era* (TNE) program. It is specifically designed to enhance teacher preparation as measured by the performance of their pupils in the classroom. This program utilizes student input as a measurement of teacher ability. The *Teachers for a New Era* program is a UConn initiative and involves primarily the Neag School of Education and the College of Liberal Arts and Sciences. Issues related to content, theory, pedagogy, and methods as well as research are some of the primary focal points. Professor Brown described the Program as one

March 23, 2004

that will bring scientific evidence to the process in order to make decisions about how we prepare teachers and assess their students. This initiative will prepare our teachers, teacher education candidates, and pre-education majors, which is why the School needs to work closely with the College of Liberal Arts and Sciences as well as other schools and colleges across campus.

Professor Brown explained the three design principles, which include: 1) decisions driven by evidence; 2) engagement of arts and sciences; and 3) teaching as an academically taught clinical profession. He compared the teacher preparation program to a medical school residency program. He noted that there will be curriculum reform committee that will look at how change can occur and be measured with respect to pedagogy and effective integration of educational technology. Currently, the School is collecting data on their first cohort. They are the first group of teachers that will be graduating this year un-impacted by this initiative. In the future, they will measure the outcome of prospective teachers and pupils. Some of the graduate assistants that are currently working on this are from math, marine sciences, geology and geophysics, educational psychology, and political science.

All of the schools that have been selected already have excellent teacher preparation programs and with this initiative will have the ability to greatly enhance their programs. The intent is to infuse domain knowledge, which means that prospective teachers will gain greater insight into their field by obtaining more collaborative experience with other educators.

Professor Brown directed trustee attention to two handouts. One of which is a small laminated card that Carnegie Corporation has produced that indicates the principles and the other grantees. The second handout is one-page briefing that details the specific principles and how they will be implemented. Thirdly, the resolution has been amended based on suggestions that were recommended this morning in the Academic Affairs Committee. With the Board's acceptance of this gift, the University is making a commitment to integrate TNE into the fabric of the University.

Funding from the Carnegie Corporation of New York  
for the *Teachers for a New Era* Program (Attachment 15, as amended)

Chairman Rowe confirmed that the Board will vote on the amended resolution located at the Trustees' places. (The amended resolution approved by the Board appears in Attachment 15). Vice-Chair Jacobs read the following recommendation:

**THE BOARD APPROVED** a motion by Mr. Twilley, seconded by Mr. Barry, to accept a \$5 million grant from the Carnegie Corporation of New York in support of the *Teachers for a New Era* program and support resource commitments made by the University administration in connection with this grant.

## 5. Financial Affairs Committee Report

### (a) Committee Chairman's report on Committee activities

Vice-Chair Nayden reported that the Financial Affairs Committee met this morning and discussed items 2 through 6 in the Consent Agenda.

March 23, 2004

## (b) Discussion of Committee Goals and Objectives

Vice-Chair Nayden reported that Vice President Aronson and Vice President Flaherty-Goldsmith prepared a draft of the Financial Affairs Committee goals. Important consideration has been given to the development of strategies dealing with human resource functions that are dedicated to financial services at the University and how the University tracks data. Given the research mission of University, there is also an important effort directed at supporting indirect cost recovery. While Vice-Chair Nayden emphasized that it is important to secure grants from the federal government, the state, and private organizations, it is equally important to monitor control and collect some portion of the indirect costs associated with research activity. The Financial Affairs Committee will be tracking the collection of those funds.

As Provost Petersen mentioned earlier, information technology is a priority. Currently, the University operates with very disparate and multi-system applications. As a result, the administration will hire a Chief Information Officer to rationalize the University systems, and assess and plan for systems networking, data management and program operations support.

Vice-Chair Nayden also stated that another goal will involve the implementation and tracking of Phase 3 of UCONN 2000 and the early investments associated with 21<sup>st</sup> Century UConn.

## (c) Items requiring Board discussion and approval:

## (1) FY 2004-05 Capital Budget (Attachment 16)

Vice-Chair Nayden stated that with respect to the FY 04-05 Capital Budget – this is the budget that matches up with the Academic Plan. It is an enumeration of the various projects, infrastructure investments, and academic support investments to deliver on those priorities.

On a motion by Mr. Twilley, seconded by Mrs. Gatling, **THE BOARD VOTED** to approve the a Capital Budget in the amount of \$100,000,000 in UCONN 2000 General Obligation Bonds for Fiscal Year 2004-05.

## (2) Eleventh Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds (Attachment 17)

On a motion by Mr. Twilley, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the Eleventh Supplemental Indenture authorizing University of Connecticut General Obligation Bonds. This provides for the eleventh bond issuance under the Master Indenture and authorizes issuance in an amount not to exceed \$100,000,000 plus cost of issuance.

## (3) Revised Project Budget for Student Union Addition (Attachment 18, as amended)

The third item the Committee discussed was a \$2 million incremental expenditure for the completion of the Student Union project. The Committee heard from Vice Provost for Multicultural Affairs Taylor and Interim Vice President for Student Affairs Saddlemire regarding the concerns that were raised about the quality of space for certain groups. Vice President Flaherty-Goldsmith established the Student Union Project Advisory Committee so that these concerns could be addressed. Currently,

March 23, 2004

modifications are being made by re-programming the facility in terms of relocating these groups within Phase I & II of the project. The estimated cost of this work is \$2 million; thereby, the administration has recommended that the Board approve an increase in the project budget from \$45 million to \$47 million.

Dr. Rowe asked for clarification as to where the \$2 million would come from and whether funds from another source would be used to replace those funds.

Vice Chancellor Dreyfuss responded that funds to replace the \$2 million gap would come from the Deferred Maintenance pool.

Dr. Rowe asked if the Deferred Maintenance pool would be refunded. Vice Chancellor Dreyfuss could not confirm that it would be at this time.

Trustee Twilley thanked the Committee for their efforts and specifically thanked Dr. Saddlemire, Dr. Taylor, and Trustee Nichols who were on the Committee. He stated that he could not support the addition of \$2 million to the budget and urged Board members to vote accordingly. He noted that this project began with student input at the outset and that input was considered when the building was designed. There is space for all six cultural centers, two world-class studios for WHUS, UCTV, etc. Trustee Twilley expressed his gratitude to the committee chaired by Provost Petersen, which had a disproportionate amount of students on it. He indicated that he could not support the recommendation to take \$2 million from Deferred Maintenance and replacing it with \$2 million from the Residential Life Facilities project in Phase III of UCONN 2000. He also stated that he believed that \$2 million was too much. The Student Union should have been open this semester and providing students with the facilities.

Dr. Rowe asked if the funds would be coming out of the Residential Life Facilities project. Trustee Twilley directed attention to the bottom of Attachment 18, in which he paraphrased that \$2 million from Deferred Maintenance will be replaced with Residential Life Facilities monies.

Vice Chancellor Dreyfuss stated that the mix of resources for residential life facilities is comprised of Debt Service Commitment Bonds on which the State pays the debt service, and Special Obligation Bonds, which are guaranteed by University revenue streams. It cannot be determined at this time how these bonds will play out, because the administration has not identified all the projects that will be completed in the Division of Student Affairs. It is possible that we could come back to the Board at a future date and recommend that the University borrow additional Special Obligation Bonds. If so, any such item must be approved by the Board.

Dr. Rowe asked if the statement in the resolution read by Trustee Twilley was accurate. Vice Chancellor Dreyfuss responded that the statement read by Trustee Twilley should be considered as a statement of possibility.

Vice-Chair Nayden asked Vice Chancellor Dreyfuss if the Deferred Maintenance list was so numerous that it would be problematic to take \$2 million from the Deferred Maintenance pool and allocate it to this Student Union Project. He suggested that in the future, it would be more prudent to re-allocate funds from another source.

March 23, 2004

Dr. Rowe asked the Board if that was a feasible suggestion given the current problems with limited housing. Dr. Rowe suggested that the resolution be revised to reflect Vice-Chair Nayden's recommendation. He asked Trustee Twilley if that suggestion was satisfactory.

Trustee Twilley noted that although he was concerned about where the money would come from, he was still very concerned about the fact that the Student Union will not open when it should be. Trustee Twilley and Trustee Nichols toured the Student Union and they found some areas of concern where lights were dangling to about 6'6" and there were some corridors that were narrow, but everything that each Cultural

Center wanted for their space they received including the location of bathrooms, kitchens, meeting rooms, etc. He has spoken to Larry Schilling, Executive Director of Architectural and Engineering, and Eddie Daniels, Director of Campus Activities, and they have agreed that we need to improve our communication process. The bottom line is that it is a fantastic building, which has a 450 seat theater, restaurants, and other amenities that students should have now.

Trustee Martinez also raised concerns about the need for additional funds.

Trustee Nichols echoed Trustee Twilley's sentiments. Trustee Nichols praised the administration for soliciting student input, but is just as concerned about the building coming on-line. He also raised concerns about reallocating \$2 million from Deferred Maintenance when there are so many other areas that are in need.

Dr. Andrea Dennis-LaVigne stated she met with most of the Cultural Center directors and some of the students associated with the Alumni Association. In her opinion, the \$2 million is necessary and although the building is nearly ready and most are anxious for the opening, everything should be in order. She further emphasized that communication is paramount and needs to be improved on campus, but she does support this financial decision.

Trustee Abromaitis asked if the Student Union was under budget at this point. Vice Chancellor Dreyfuss responded that it is on budget except for the \$2 million.

Trustee Nichols disagreed with the amount. He stated that the original budget was \$35 million. Two years ago he spoke to the Board on behalf of the Undergraduate Student Government about the budget shortfall, which at the time the administration had requested \$5 million be taxed onto the students over a 30 year period, which he believed was in addition to the \$5 million that is referenced in the background information. He reiterated his concerns that the Student Union should open as scheduled and could not support an additional \$2 million for the project.

Vice-Chair Nayden offered another perspective to what Trustee Nichols referred to as a tax put on students. Given the scope of the services and the quality of the building, it would not be inappropriate to assume students that should bear some of the cost because they are the users of the facilities.

Vice Chancellor Dreyfuss agreed that the original estimate was \$35 million, but that amount was estimated before programming was considered and students were

March 23, 2004

consulted. Because the Board approved a change in the project budget from \$35 million to \$45 million, the actual approved budget for the project is \$45 million.

President Austin asked what was the first phase to come online. Vice Chancellor Dreyfuss responded that the Phase I begins at the exterior entrance of the Nutmeg Grill northward with a renovation of the existing building, a new theater at the north end of the building and an addition on the east side. When that portion of the building comes online, Phase II will involve the demolition of the existing building and construction of a new facility beginning south of the entrance to the Nutmeg Grill.

Vice President and Chief Operating Officer Flaherty-Goldsmith commented on the process that led to a change in the project budget. Last October she and Provost Petersen initiated a meeting because of the overwhelming concerns raised by students. Approximately 250-300 students came to speak to them about the project. She concluded that the biggest problem was the lack of communication between the students and the administration about how the facility would actually look. While the project managers were mindful to design the space in accordance with student input, students were not told that in some areas the ceilings would be only 6'8" high and that there would be exposed pipes in some cases.

The initial meeting lasted approximately four hours and she was surprised by the strong negative sentiment expressed by students. A second meeting was held in November. She concluded that the administrative process had failed, because there was a lack of communication between the building manager and the project manager. Even though they have addressed those process issues, it does not change the fact that the cultural centers had issues. She and Provost Petersen felt very strongly that their concerns needed to be addressed further and charged this Student Union Advisory Committee to address their concerns. She added that the administration offered a limit of a \$2 million increase in the budget. She commended Mr. Schilling for working very carefully with the students. Vice President Flaherty-Goldsmith emphasized that it is a beautiful facility that should be positively received. She is confident that the students going into those spaces are fully aware of the conditions.

Trustee Dennis-LaVigne encouraged the Committee to move forward in a positive direction.

Trustee Gatling asked if the only problem was the low ceilings and if they were able to be adjusted with the additional funds. Vice President Flaherty-Goldsmith responded that the ceiling height cannot be changed. She clarified that those areas that were targeted for the space with low ceilings were originally designated for the cultural centers and it was negatively perceived. They have reconfigured some of that space so there will be other student organizations as well as cultural centers in those spaces. Because the original plans were designed specifically for the cultural centers, revisions need to be made to the facility to accommodate the new organizations.

Vice President and Chief Financial Officer Aronson commented about the residential life dollar line. She stated that the administration preliminarily estimated (when they

March 23, 2004

asked for Phase III of 21<sup>st</sup> Century UConn) \$90 million worth of activity for the residential facilities, but there is only \$60 million in Debt Service Commitment Bonds. The difference, and any additional needs, will be funded by Special Obligation Bonds. Some limited need could also be addressed with Deferred Maintenance dollars. That is a way we dealt with trying to meet all of our needs in UCONN 2000 and that is what lies behind that last sentence in the resolution.

Dr. Rowe stated that the resolution does not explain that information and it may not be perceived positively.

Vice President Aronson responded that the resolution can be changed to clarify that the funds will come out of Deferred Maintenance, but thought it important to explain the assumptions underlying financing for residential facilities.

Dr. Rowe suggested that the resolution be amended. (The amended resolution approved by the Board appears in Attachment 18).

Trustee Barry suggested that the following statement in Attachment 18 be removed and vote on whether we are going to spend \$2 million more on the Student Union:

“In Phase III of UCONN 2000, \$2 million will be reallocated from the Residential Life Facilities project into the Deferred Maintenance and Renovation Lump Sum project line.”

President Austin asked Vice President Aronson if this change is acceptable. Vice President Aronson agreed.

Trustee Ritter noted that any movement of money would require Board approval. Vice President Aronson clarified that the administration must come to the Board annually with the project list, so the administration will be able to reexamine this annually as to whether we hit the appropriate levels both in terms of Deferred Maintenance and residential life.

Vice-Chair Nayden stated that the proposed edit removes the last sentence of paragraph 4 in Attachment 18. Dr. Rowe indicated for the record that the Board has indicated that this matter does not constitute all of their concerns, but represents only one part of the issue. Vice-Chair Nayden strongly urged the administration to find any and all ways to accelerate the completion of the Student Union and to maximize the opening as soon as it is practical.

“On a motion by Dr. Rowe, seconded by Dr. Dennis-LaVigne, **THE BOARD VOTED** to approve increasing the project budget for the Student Union Addition from \$45,000,000 to \$47,000,000 with the \$2,000,000 increase funded from UCONN 2000.”

Mr. Nichols and Mr. Twilley voted in opposition. Mr. Martinez abstained from voting.

(4) Travel Policy Revision

(Attachment 19)

Vice Chancellor Dreyfuss stated that the administration proposed to simplify the University’s Travel Policy with the following modifications: 1) remove the double authorization (verbal and written) for travel expenditures. Verbal authorization would

March 23, 2004

only be needed initially and written authorization would be needed for reimbursement; 2) allow business class for travel outside the contiguous United States; 3) eliminate the requirement for a copy of employee' insurance policy in order to be reimbursed for mileage on a personal automobile; 4) reflects a change in the per diem limitation on meals from \$51.00 to \$54.00, which was last changed in 1992.

On a motion by Mr. Martinez, seconded by Mr. Barry, **THE BOARD VOTED** to approve the following changes to University travel regulations:

1. Elimination of the requirement of a written Travel Authorization document prior to travel, except for travel outside the contiguous United States.
2. Amend the restriction to coach airfare to allow business class travel for flights outside the contiguous United States.
3. Eliminate the requirement to provide copies of personal motor vehicle insurance policy declarations.
4. Increase the limit for meals reimbursement from \$51.00 to \$54.00 per day.

(5) Fiscal Year 2005 Fee Adjustments (Attachment 20)

On a motion by Mr. Nichols, seconded by Mr. Twilley, **THE BOARD VOTED** to approve the implementation of the School of Social Work Malpractice Insurance Fee and the College of Agriculture and Natural Resources Plan Science graduate course Fee and approve adjustments to the School of Business Laptop Fee, the Off-Campus MBA and MS in Accounting Fees, and the College of Agriculture and Natural Resources Landscape Architecture Laptop Fee effective with the Fall 2004 semester.

(6) Exchange of Properties near Routes 32 and 44 with Town of Mansfield (Attachment 21)

Vice-Chair Nayden asked Vice Chancellor Dreyfuss to describe the history of the property and the reason for the exchange.

Vice Chancellor Dreyfuss stated that when the University was originally given the Mansfield Training School property, a parcel of approximately 43 acres was transferred by the State to the Town. The Town has no use for that property, but does have an interest in a parcel of land of about ten acres, which was part of the Mansfield Training School. The ten acres runs along Willimantic River, which would be an ideal site for the construction of a canoe ramp for public recreation. The University has no further use for that property. The resolution recommends the exchange of the marginal property along the Willimantic River for the 43 acres in the middle of the former Mansfield Training School grounds.

On a motion by Mr. Martinez, seconded by Mr. Twilley, **THE BOARD VOTED** to authorize the conveyance of property located north of Plains Road and on the Willimantic River to the Town of Mansfield and accept, for an even exchange, the

March 23, 2004

conveyance of a parcel of land from the Town of Mansfield located on the northeasterly side of the intersection of Routes 32 and 44.

## 6. Health Center Report

Chairman Rowe recognized that Board of Trustees members Dr. Jacobs and Trustee Abromaitis are also members of the Health Center Board of Directors. He noted that he and Ms. Leonardi speak on a regular basis.

### (a) Report on Health Center activities

Dr. Jacobs reported that the Health Center Board of Directors has met on a number of occasions and held a retreat last fall. He called on Dr. Deckers for a more comprehensive report.

Dr. Deckers reported that the John Dempsey Hospital is on budget. He also noted that length of hospital stay, average daily census, the ambulatory visits to the John Dempsey Hospital Operating Room Procedures, UConn Medical Group visits, and consultations are on budget as well. The John Dempsey Hospital recently received a three-year accreditation from the Joint Commission on Accreditation of Hospital Organizations.

Through January 2004, extramural research education grant and contract revenues were more than \$9 million behind compared to the same time last year. It is believed to be a function of the fact that the federal government had not approved its \$328 billion Omnibus Appropriations Bill for which the NIH budget represented \$28 million. After the Bill was approved on January 22, 2004, the Health Center reported a \$4 million deficit reduction within the first three weeks. While they remain approximately \$5 million behind, the administration expects positive gain as faculty obtain grant funds.

Dr. Deckers recognized the leadership of the compliance program and cited the work of Dr. Robert Kozol and Ms. Iris Mauriello. Dr. Kozol is the Chairman of the Department of Surgery and is moving back to that position full-time. He announced that Dr. Nancy Adams from the Department of Medicine will take over that position July 1, 2004. She will assume the post as Chief Compliance Officer at the Health Center. Mr. Bruce Chudwick, a member of the Health Center Board of Directors, has resigned as chair of the Clinical Affairs Committee and Ms. Claire Leonardi has agreed to assume the position of interim chair.

He announced that every dental student in the class of 2004 matched with the residency of their choice.

Through considerable effort of Dr. Bruce Gould and Dr. Bruce Koeppen, the School of Medicine is a partner with the AAMC –sponsored Institute for Healthcare Improvement Collaborative – a national effort to integrate quality care improvement strategies into medical education teaching students about practice-based learning, system-based practice, and about evidence-based medicine.

He attended the National Board of Medical Examiners conference along with other deans to look at Part 2 of their process of licensure, which tests clinical skills. Up to this point, medical schools have only tested knowledge base, not one's ability to communicate and examine patients. They cited UConn's curriculum as one of the best in the country relative tot teaching students how to communicate and examine with patients as part of a clinical skills program. They asked them to write up for their journal, *Academic Medicine*, a course that we give to our students know as "Beginning-to-End" – given its potential as a very innovative mechanism for quality improvement in health care.

March 23, 2004

Dr. Deckers announced that Commencement activities for the Schools of Medicine and Dental Medicine will occur on Sunday, May 16. This year marks the 33<sup>rd</sup> Commencement.

He has mentioned in the past that they have integrated a new curriculum for the dental and medical students are engaged with during their first two years. In an effort to explain its effect – they moved education to the ambulatory setting, made it more responsive to public health issues and prevention, they emphasized chronic care, and emphasis on biomedical ethics, geriatrics, problem-based learning, student continuity practice and primary care sites, and public service.

Regarding the new curriculum, with the exception of 2002, students have been doing well compared to the national average – 15 percentage points above the national average on Part 1 of the National Board Exam, which tests fundamental knowledge as it relates to anatomy, physiology, biochemistry, pathology, microbiology, and pharmacology. The number of students who were flunking before the new curriculum – their was an aberration in 2002 compared to the national mean, but back in 2003 and 2004, and 2005 we have come out lower than the failure rate compared to the rest of the country. In Part 2, which measure clinical knowledge base and soon will measure clinical competence relative to communication and examination, our total score has exceeded those of the rest of country in every in which the examination has been taken. In addition, the number of individuals flunking has decreased dramatically and in 2002 and in 2003 no one failed the examination. Our Medical School requires that the students pass Part 1 and Part 2 of the USMLE examination.

(b) Item requiring Board discussion and approval:

- (1) Approval of the Capital Spending Plan for Fiscal Year 2005 and the Five Year Capital Spending Plan for Fiscal Years 2006-2010 for the University of Connecticut Health Center (Attachment 22)

On a motion by Mr. Twilley, seconded by Dr. Jacobs, **THE BOARD VOTED** to approve the University of Connecticut Health Center Capital Spending Plan for Fiscal Year 2005 and the Five Year Capital Spending Plan for Fiscal Years 2006-2010.

## 7. **Audit and Compliance Committee Report**

Dr. Rowe acknowledged the commitment of Vice-Chair Nayden for his efforts. The change in Committee structure ties together at the governing board level, the activities at the Health Center and the Storrs-based programs by creating one audit, compliance, and risk management committee. The addition of risk management is a very cutting-edge approach at an academic institution. Membership from both boards on this Committee provides strength and a novel approach, which will serve both parts of the University very well.

(a) Committee Chairman's report on Committee activities

Vice-Chair Nayden reported that the Audit and Compliance Committee represented a joint membership, which combines resources and expertise from both the Health Center and UConn at Storrs to form a new Audit and Compliance Committee. The Audit and Compliance Committee is considered to be a tool for President Austin and the Board. It is important that we adhere to compliance regulations.

The first meeting of the newly formed Committee was held by telephone on February 10, 2004. Vice-Chair Nayden indicated that the Committee charter was available to review on the

March 23, 2004

Board of Trustees' website. The Committee received President Austin's progress report regarding candidate recruitment for the appointment of a new head of audit and compliance.

They received several strong applications for the position and were confident that the administration would be negotiating a final agreement shortly.

The Committee approved a recommendation to authorize the administration to negotiate a contract with PriceWaterhouseCoopers in an effort to augment the University's internal audit capabilities in the areas of medical billing and clinical auditing. Approval was limited to these two areas for an amount not to exceed \$100,000. They anticipate that the new director of internal auditing, after completing an assessment of the overall staffing capabilities, will seek further approval to secure additional expertise in auditing areas such as construction and information technology.

The Committee also discussed the progress made to issue an RFP for retaining an outside firm to audit the University's and the Health Center's various annual financial statements. The auditors of public accounts, who are charged by State Statutes to perform such financial and performance audits, expressed reservations about the timing and potential costs associated with it. In response to those concerns, the Committee, working through Chairman Rowe and Trustee Ritter, agreed to defer consideration of the RFP to allow for further assessment of the University's and Health Center's needs and the auditors of public accounts capacity to meet the requirements. Vice-Chair Nayden noted that it was crucial to receive very timely reports of current data, so that the reports are useful in managing the affairs of the University. The Committee needs to work closely with the auditors of public accounts to ensure that they can comply with that request.

Vice-Chair Nayden reported that the University received a favorable review with no material findings from the auditors of public accounts for the University's and the Health Center's financial statements for Fiscal Year 2002-03. The Board previously received copies of those reports.

Vice-Chair Nayden stated that he, Chairman Rowe, President Austin, and Ms. Leonardi are scheduled to meet and discuss options for incorporating enterprise risk management, which includes numerous areas of operating risk, financial risk, and compliance risk. They intend to enumerate all of those issues and track them so as to identify the appropriate risk management metrics.

Trustee Ritter raised concern about Vice-Chair Nayden's reference to deferring the RFP the administration put out to bid. He noted that the Committee suspended the RFP in the hopes that the auditors would be able to provide the Committee with timely documents.

- (b) Discussion of Committee Goals and Objectives

## **8. Student Life Committee Report**

- (a) Report on Committee activities

Trustee Barry gave the report of the Student Life Committee on behalf of Vice-Chair Bailey. The Committee discussed progress made with the Daily Campus staff and their efforts to correct issues raised in a recent audit and to update their constitution.

March 23, 2004

The Committee also heard comments from Trustee Nichols about the Student Union project. He is a member of the Student Union Project Advisory Committee.

Because the University provides very desirable at South Campus, Charter Oak Apartments, and Hilltop Apartments and Suites, the demand for living on campus continues to rise. Seniors, who typically look for off-campus housing, now want to stay on campus, which has created a problematic situation for this fall in which we will be short about 500-600 beds. The Committee will be meeting with officials of the Town of Mansfield to discuss the safety of UConn students who live in private homes in Mansfield.

(b) Discussion of Committee Goals and Objectives

Trustee Barry reported that the Student Life Committee Goals and Objectives consist of the following: 1) oversight and guidance of facility issues, most notably completion of the Student Union Project; 2) oversight of key management issues in student affairs areas, including management of activity funds; 3) monitoring implementation of the University program regarding substance abuse prevention; 4) support of University's Academic Plan, including such issues as a new senior experience program, and the residential life linkages to academic programs; 5) quality of community life issues, including integrating students with the implementation of the Mansfield Downtown Partnership; 6) reviewing living conditions of students in Town of Mansfield properties.

(c) Items requiring Board discussion and approval:

(1) Graduate Student Senate Constitution (Attachment 23)

On a motion by Mr. Twilley, seconded by Mr. Nichols, **THE BOARD VOTED** to approve changes in the constitution of the Graduate Student Senate.

(2) Release of Certain Conditions, Restrictions and Reservations  
Contained in a Quitclaim Deed from the State of Connecticut  
to Charles E. Lee on November 25, 1949 and Recorded in  
Volume 70 at Page 452 of the Mansfield Land Records.  
11 Westwood Road, Storrs, Connecticut

(Attachment 24)

The Student Life committee advises the Financial Affairs Committee that removal of Deed Restrictions #1 and #2 on property at 11 Westwood Road is an appropriate action by the administration.

On a motion by Mr. Twilley, seconded by Mrs. Gatling, **THE BOARD VOTED** to release Restrictions #1 and #2 in a Quitclaim Deed from the State of Connecticut to Charles E. Lee, dated November 25, 1949 and recorded in Volume 70 at Page 452 of the Mansfield Land Records (11 Westwood Road, Storrs, Connecticut), both prospectively and retroactively. The University retains the right to have first refusal on the repurchase of said premises, upon written notification by the current owner of an offer to purchase the property.

President Austin clarified that 435 students have not been able to secure on campus housing for the fall semester.

March 23, 2004

## 9. Institutional Advancement Committee Report

### (a) Committee Chairman's report on Committee activities

Trustee Barry gave the report of the Institutional Advancement Committee on behalf of Vice-Chair Treibick. Mr. Barry reported that the Committee last met via teleconference on Friday, March 5, 2004. The Committee reviewed the draft goals and may discuss them again at their next meeting.

Mr. Martin, President of The UConn Foundation, Inc. reported that as of March 5 Campaign UConn had raised \$275 million toward its goal of \$300 million by June 30. This does not include the EDS software gift of \$146 million, which raises the current total to \$421 million. The Foundation expects to raise \$25 million in the remaining four months and close out the Campaign at approximately \$450 million.

Annual giving to the University is 16% ahead of FY 03, and stands at 60% toward the goal of \$2.5 million. The goal for donors this year is 40,000 individuals.

The Institutional Advancement Committee approved several named gift opportunities and will also undertake a review of its policies for named gifts.

Mr. Brohinsky provided a legislative update and reviewed documents being used by the University in discussions with members of the General Assembly.

The highest priorities relate to the level of state financial support that the University receives. For FY 05, the proposed state budget double counts the state's savings resulting from the FY 04 voluntary salary-freeze affecting faculty, non-faculty professionals and non-unionized employees. The University is seeking restoration of the amount of this unjustified reduction, which is approximately \$5 million for the Storrs-based programs and \$1.7 million for the Health Center.

The Committee also discussed the status of the State Matching Grant Program. Currently, the State owes UConn and its donors approximately \$18.5 million in matching grants. The Committee received copies of documents shared with legislators, which characterized the current status of the state match. The Committee asked Mr. Martin at its next meeting to explain how the Foundation apprises prospective donors and those who have made match-eligible gifts about the status of the State match.

Mr. Feudo updated the Committee on its efforts to increase membership and how the Alumni Association is engaging advocates in support of the University's legislative agenda.

### (b) Discussion of Committee Goals and Objectives

### (c) Items requiring Board discussion and approval:

#### (1) Facilities Naming Recommendations (Attachment 25)

On a motion by Dr. Rowe, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the following naming recommendations:

#### (a) School of Business

##### (1) SS&C Technologies Financial Accelerator

March 23, 2004

- (b) Homer Babbidge Library
  - (1) Class of 1954 Quiet Study Room
- (c) Neag School of Education
  - (1) Superintendents' Seminar Room
  - (2) Neag School of Education Advisory Board Room
- (d) School of Nursing
  - (1) Dr. Henrietta Bernal Shared Clinical Faculty Office
- (e) School of Pharmacy
  - (1) Milton and Rose V. Kalmanowitz Classroom
  - (2) Oscar and Julia Roth Classroom
  - (3) Joseph C. Papa, Sr. Conference Room
  - (4) Pelton's Student Break Room
  - (5) Angelo DeFazio Faculty Office
  - (6) Antonio and Maria Capuano Patient Counseling Room
  - (7) Dr. Stuart and Sharon Neiss Group Study Area
  - (8) Leonard J. Ozga Group Study Area
  - (9) Janice S. and Henry A. Palmer Patient Counseling Room
  - (10) Joseph and Lorraine Palo Shared Clinical Faculty Office
  - (11) School of Pharmacy Faculty 1974–1978 Group Study Area
- (f) University of Connecticut Health Center
  - (1) Chief Gabriel Chike Michael Onyike Faculty/Staff Dining Room
  - (2) James H. Foster, M.D. Memorial Learning Center
  - (3) Robert U. Massey Auditorium
- (2) Academic Program Naming Recommendation (Attachment 26)
  - (a) Pat and Jim Calhoun Cardiology Center
 

On a motion by Mr. Martinez, seconded by Mr. Twilley, **THE BOARD VOTED** to authorize naming the University of Connecticut Health Center's Cardiology Signature Program as the Pat and Jim Calhoun Cardiology Center."
- (d) Development Progress Executive Summary (Attachment 27)
 

Trustee Barry directed Trustee attention to Attachment 27, which provides an update of the final year of Campaign UConn.

8661

March 23, 2004

Chairman Rowe acknowledged the generosity of Coach Calhoun, who has used the proceeds from his celebrity golf tournament to support the cardiology program at the Health Center.

President Austin added that late this morning a graduate student in Chemistry was conducting an experiment in which an explosion resulted. He was transferred to Windham Hospital with first and second degree burns and was released. This resulted in about \$10,000 worth of damage to the laboratory.

There were no other items discussed by the Board.

**10. Adjournment**

Chairman Rowe announced that the next meeting of the Board would be held on Tuesday, June 22, 2004 in the Rome Commons Ballroom (South Campus), Storrs, Connecticut.

There being no further business, the Board meeting adjourned at 4:20 p.m.

Respectfully submitted,

Louise M. Bailey  
Secretary