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MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT

University of Connecticut
Rome Commons Ballroom
South Campus Complex

September 25, 2007

OPEN SESSION

The meeting was called to order at 11:00 a.m. by Chairman John Rowe. Trustees present were: Louise Bailey, Philip Barry, Gerard Burrow, Andrea Dennis-LaVigne, Peter Drotch, Linda Gatling, Ross Gionfriddo, Rebecca Lobo, Michael Martinez, Denis Nayden, Michael Nichols, Thomas Ritter, and Wayne Shepperd.

Trustee Joan McDonald participated by telephone.

Trustees Michael Bozzuto, Lenworth Jacobs, Jr., Mark McQuillan, F. Philip Prelli, Richard Treibick, and Janine Braun, who represents the Governor's Office, were absent from the meeting.

University staff present were: President Hogan, Provost and Executive Vice President for Academic Affairs Nicholls, Executive Vice President for Health Affairs Deckers, Vice President and Chief Financial Officer Aronson, Vice President and Chief Operating Officer Feldman, Vice President for Student Affairs Saddlemire, Vice Provost for Academic Administration Singha, Vice Provost for Enrollment Management Evanovich, Vice Provost for Research and Graduate Education Anderson, Vice Provost for Multicultural and International Affairs Taylor, Associate Vice President of Administration and Operations Services Callahan, Chief Audit and Compliance Officer Walker, Director of Compliance Rubin, Dr. Schurin, Special Assistant to the President and Director of University Relations Brohinsky, Associate Vice President of Architectural and Engineering Services Bradley, Associate Vice President for Human Resources and Payroll Services Munroe, Chief Financial Officer DeTora, Athletic Director Hathaway, Health Center Chief Financial Officer Upton, Health Center Chief Operating Officer Whetstone, Health Center Director of Campus Planning, Design and Construction Trutter, Senate Representatives Nancy Bull, Kent Holsinger, Andrew Moiseff, and Michael Turvey, and Ms. Locke.

Assistant Attorney Generals Ralph Urban and Michael Sullivan were also present.

Also in attendance were: Ms. Patricia Hassett, who assists Dr. Rowe, Attorney Timothy Fisher from the law firm McCarty & English, LLP, and Attorney Laurann Askloff from the law firm of Gordon, Muir and Foley, LLP.

EXECUTIVE SESSION

On a motion by Mr. Ritter, seconded by Ms. Bailey, **THE BOARD VOTED** to go into Executive Session at 9:40 a.m. to discuss matters pertaining to personnel and collective bargaining. The Chairman noted that on the advice of counsel only staff members whose presence was necessary to provide their opinion would be permitted to attend Executive Session.

Trustees present were: Bailey, Barry, Burrow, Dennis-LaVigne, Drotch, Gatling, Gionfriddo, Lobo, Martinez, Nayden, Nichols, Ritter, Rowe, and Shepperd.

Trustee Joan McDonald participated by telephone.

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University staff present were: President Hogan (present for part of the meeting), Provost and Executive Vice President for Academic Affairs Nicholls (present for part of the meeting), Vice President and Chief Financial Officer Aronson (present for part of the meeting), Vice President and Chief Operating Officer Feldman (present for part of the meeting), Vice Provost for Enrollment Management Evanovich (present for part of the meeting), Chief Audit and Compliance Officer Walker (present for part of the meeting), Director of Compliance Rubin (present for part of the meeting), Associate Vice President of Architectural and Engineering Services Bradley, Dr. Schurin (present for part of the meeting), Associate Vice President of Administration and Operations Services Callahan (present for part of the meeting), and Associate Vice President for Human Resources and Payroll Services Munroe.

Assistant Attorney General Ralph Urban was also present.

Also in attendance were: Ms. Patricia Hassett, who assists Dr. Rowe, Attorney Timothy Fisher from the law firm McCarty & English, LLP (present for part of the meeting), and Attorney Laurann Askloff from the law firm of Gordon, Muir and Foley, LLP (present for part of the meeting).

OPEN SESSION

Executive Session ended at 12:15 p.m. The Board returned to Open Session at 1:00 p.m. Trustee McDonald participated by telephone.

University staff who joined the meeting at this time were: Vice Provost for Undergraduate Education and Regional Campus Administration Makowsky, Health Center Chief of Staff Carlson, and Senate Representatives Karla Fox, Gary English, and Donna Korbel.

All actions taken were by unanimous vote of the Trustees present.

1. Public Participation

There were no members of the public who wished to address the Board.

2. Chairman's Report

Chairman Rowe reminded the Board that today's meeting was being taped by WHUS, the local student radio station.

(a) Matters outstanding

Chairman Rowe welcomed newly appointed President Michael J. Hogan. President Hogan has been actively engaged in the University's operations and has been well received.

Chairman Rowe stated that he has reviewed with the Board President Hogan's contract and will execute it shortly. In addition, Mrs. Hogan will be granted status as a volunteer at the University so she can participate fully with him in development and other activities.

(b) Committee assignments

Chairman Rowe asked Board to consider their current assignments. Although he has heard from a number of Board members, he has not heard from all, so he deferred approval of the committee list until the next Board meeting.

(c) Minutes of the meeting of August 1, 2007

On a motion by Mr. Drotch, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the minutes of the meeting of August 1, 2007.

(d) Consent Agenda Items:

On a motion by Mr. Nayden, seconded by Mr. Drotch, **THE BOARD VOTED** to approve the Consent Agenda items.

(1) Contracts and Agreements for the Storrs-based programs (Attachment 1)

(2) Annual Report of the Endowed Chair Program Entitled "Aetna English Chair in Writing" (Attachment 2)

(3) Reports of the Endowed Chairs for the Period July 1, 2006 to June 30, 2007 (Health Center) (Attachment 3)

(4) Revised Policy and Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit and the Revised Policy on Individual Conflicts of Interest in Research (Attachment 4)

Chairman Rowe stated that the Board approved the policies and procedures on consulting for members of the faculty at the April meeting. Subsequently, legislative changes made during the last session specify that the policies and procedures cover all positions under the faculty bargaining unit some of which are staff positions.

(5) Designation of the Center for Health, Intervention and Prevention as a University Research Center (Attachment 5)

(e) Personnel matters (Storrs-based programs) (Attachment 6)

(1) Promotion and Tenure List

On a motion by Mr. Martinez, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the following promotion and tenure appointments:

<u>Appointment as Professor with Tenure</u>

1. Hogan, Michael J., Professor, Department of History, effective upon hire

<u>Promotion to Professor</u>

2. Punj, Girish N., Professor, Department of Marketing, effective August 23, 2007

(2) Designation of Emeritus Status

On a motion by Mr. Martinez, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the designation of emeritus status to the following faculty members effective August 1 and September 1, 2007:

<u>Effective August 1, 2007</u>

1. Rich, Peter, Associate Professor, Department of Ecology and Evolutionary Biology

Effective September 1, 2007

1. Corbett, Edward, Associate Professor, Department of Plant Science
2. Farnen, Russell, Professor, Department of Political Science
3. Franklin, James, Professor, Department of Dramatic Arts
4. Hanson, Betty, Professor, Department of Political Science
5. McGuire, Joan, Professor, Department of Psychology
6. Pagoulatos, Emilio, Professor, Department of Agriculture and Resource Economics
7. Yeagle, Philip, Professor, Department of Molecular and Cell Biology

(3) Sabbatics

On a motion by Dr. Dennis-LaVigne, seconded by Mr. Drotch, **THE BOARD VOTED** to approve the sabbatic leave list.

(4) Informational matters

(f) Election of Board Secretary

Chairman Rowe called for nominations for the Office of Secretary of the Board of Trustees and offered a motion. Mr. Barry seconded the motion to nominate Ms. Bailey as Secretary. The motion was approved unanimously.

3. President's Report

President Hogan proposed adding to the agenda a recommendation to award the University of Connecticut Medal to Donald "Dee" Rowe. Athletic Director Jeffrey Hathaway made the recommendation, which was strongly supported by former President Philip Austin. The Board of Trustees Committee on Honors and Awards unanimously approved the recommendation. President Hogan said that Mr. Rowe came to UConn in 1969 as the Head Men's Basketball Coach. After a successful career, he joined the Division of Athletics' fundraising program. Now retired, Mr. Rowe continues to be a strong advocate for the University and the Division of Athletics.

On a motion by Dr. Rowe, seconded by Mrs. Gatling, **THE BOARD VOTED** to add to the agenda a resolution to award the University of Connecticut Medal to Donald "Dee" Rowe.

On a motion by Mrs. Gatling, seconded by Dr. Dennis-LaVigne, **THE BOARD VOTED** to award the University of Connecticut Medal to Donald "Dee" Rowe.

Dean David Woods announced that following the Board meeting a private tour has been arranged for the Board and others at the William Benton Museum of Art. The Benton is currently featuring Rodin: A Magnificent Obsession, Sculpture from the Iris and B. Gerald Cantor Foundation. Dean Woods said that the Benton has 69 pieces of this incredible exhibit on site.

President Hogan said that each year at this time the Board receives a report on the start of the new academic year. He introduced Vice Provost Evanovich and Vice President Saddlemire and asked them to provide their start of the year updates.

(a) Presenters: Vice Provost for Enrollment Management M. Dolan Evanovich
Vice President for Student Affairs John R. Saddlemire
Presentation: Start of the year update

Vice Provost Evanovich gave a brief power point presentation on new student enrollment. He directed Trustee attention to his powerpoint presentation entitled "Fall 2007: New Student Enrollment Report." A copy of the handout is attached to the file copy of the Board minutes.

Vice President Saddlemire gave a brief power point presentation on opening weekend. A copy of the presentation is attached to the file copy of the Board minutes.

President Hogan commented that he was impressed with what they and the Provost have accomplished. After working in this field and in the area of enrollment management for 15 years, he said that their efforts are extremely impressive.

(b) Other matters

President Hogan reported that the University is up for its ten-year reaccreditation by its regional accrediting body, the New England Association of Schools and Colleges (NEASC). Professor Karla Fox, chair of the University self-study team, led a very comprehensive self-study on campus. Some members of the Board participated in the process. NEASC provided a very comprehensive and positive overview of the academic side of the University. In January, some members of the Board also visited with the external review team, which was chaired by Chancellor Mark A. Nordenberg from the University of Pittsburgh. That team gave UConn a favorable evaluation and included some recommendations that will help push our trend lines forward. Last week, President Hogan and Dr. Austin appeared before the NEASC Commission on Higher Education to go over the review and the self-study. President Hogan said that he expects to receive the official decision very soon.

Vice-Chair Drotch commented that he has a very close friend that sits on that Board and he was extremely praiseworthy of Professor Fox. President Hogan and Chairman Rowe also commended her on her work. Chairman Rowe also thanked Dr. Austin for his leadership in guiding the process.

The NCAA recertifies Division I intercollegiate athletic programs on a ten-year cycle. The Division of Athletics is currently undergoing recertification. Dr. Schurin chaired the steering committee that conducted a very exhaustive self-study. The report has been very well received and the NCAA has offered a few minor administrative suggestions. President Hogan said that next week a three-person peer review team will be visiting campus to talk to administrators, students, and staff both internal and external to the Division. That review team is chaired by Dr. V. Lane Rawlins, former president of Washington State University. The team also includes two other members, one of whom was a colleague of his, Professor Susan Hartmann, Department of History at Ohio State University. A few months following their visit, they will issue the report, and the NCAA will render its final decision about our certification status. We have every indication that the Athletics program will be granted certification.

We received glowing praise from the NCAA staff regarding our counseling and advising services for student-athletes. They asked if they could use the University's model to show other universities.

President Hogan reported that a joint press conference was held in Hartford last week unveiling the Eminent Faculty Initiative in Sustainable Energy. President Hogan, Provost Nichols, along with several State leaders, including Connecticut State Senator and President Pro Tempore Donald Williams, Jr., were on hand for this event. This Program has appropriated $4 million to the University - $2 million each in two successive years. The intent is to attract outstanding faculty to support important State goals and initiatives. In order to receive State funding, the University needed to secure a private sector match, which was received from FuelCell Energy of Danbury, the Northeast Utilities Foundation, and UTC Power of South Windsor. The funding will be used to recruit and support faculty in the area of energy alternatives. This will build on very important work already ongoing at the UConn. President Hogan said that it was a good example of the kind state university/private sector partnership that will be very important to the future of our teaching, research, and service missions.

4. Financial Affairs Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Drotch reported that the Financial Affairs Committee met earlier this morning. He discussed the Deferred Maintenance expenditures for Fiscal Year 2007, including those that were initially authorized in FY 2007 and those that were authorized in prior years but had spending in FY 2007. The Committee also discussed and approved going forward a more streamlined reporting of those expenditures.

The Committee reviewed a large number of project budgets, specifically Attachments 9 through 37. A number of questions were raised during the course of reviewing those budgets. All were recommended to the full Board for approval. Vice-Chair Drotch said that the number of projects is an indication of the accelerating construction going forward.

(b) Items requiring Board discussion and approval:

(1) Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures for Fiscal Year 2007 (Attachment 8)

Vice-Chair Drotch directed Trustee attention to the revised document in Attachment 8. A copy of the handout is attached to the file copy of the Board minutes.

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Fiscal Year 2007 Deferred Maintenance/Code/ADA Renovation Lump Sum expenditures of $5.6 million for Storrs and the Regional Campuses and $0.9 million for the Health Center.

The Committee also reviewed contracts and agreements for information that are over $100,000, but under $500,000 (Financial Affairs Tab B). Under the revised procedures, the list is much larger as contracts that were previously not brought before the Committee are now included.

With respect to the contract for the consulting team, Katzenbach Partners, LLC, Vice-Chair Nayden said that he has found that transition teams are an effective tool for acclimating presidents and other or to help the acclimation to the University at large in terms of issues, priorities and an introduction to a variety of constituents. He expressed his support for this activity.

Vice-Chair Drotch indicated that the contract was item 7 on the list on contracts and agreements for information.

Vice-Chair Ritter said that when he met with the transition team they were very helpful in defining key strategies for President Hogan. The kinds of issues that were considered important as a board were being recognized. He also expressed his support for this decision.

Vice-Chair Drotch asked the Board for a collective vote on Attachments 8 through 37. On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the project budgets in Attachments 8 through 37.

(2) Project Budget (Planning) for Beach Hall Renovations (Attachment 9)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget of $2,500,000 for renovations at the Charles Lewis Beach Hall.

(3) Project Budget (Planning) for the Castleman Building Drainage Repair (Attachment 10)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the site and exterior renovations and repairs to resolve drainage and surface water infiltration at the Francis L. Castleman Building in the amount of $500,000.

(4) Project Budget (Planning) for DRM (Design Resource Management) Window Replacement (Attachment 11)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the Design Resource Management building window replacement in the amount of $500,000.

(5) Project Budget (Planning) for Gentry Completion (Attachment 12)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the renovations and improvements to the Charles B. Gentry Building in the amount of $10,000,000.

(6) Project Budget (Planning) for the Jorgensen Renovation (Attachment 13)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget of $1,600,000 for the renovation of Jorgensen Auditorium.

(7) Project Budget (Planning) for Mansfield Road Realignment (Attachment 14)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the realignment of Mansfield Road at the intersection of Rte. 195 in the amount of $700,000.

(8) Project Budget (Planning) for Mansfield Training School Improvements – Longley Renovations (Attachment 15)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for renovations to the Longley Building in the amount of $1,000,000.

(9) Project Budget (Planning) for Meter Installation – Multiple Locations (Phase II) (Attachment 16)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the meter installation at multiple locations in the amount of $600,000.

(10) Project Budget (Planning) for Repair and Renovation of the Sherman Athletic Complex (Attachment 17)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the repair and renovation of the George J. Sherman Athletic Complex in the amount of $2,250,000.

(11) Project Budget (Planning) for the Replacement of Chemical House (Well Water Treatment Facility) (Attachment 18)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the replacement of the existing well water treatment facility including the addition of emergency backup electrical generation in the amount of $3,500,000.

(12) Project Budget (Planning) for the Replacement of Two Water Towers (Attachment 19)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the replacement of two water towers in the amount of $2,000,000.

(13) Project Budget (Planning) for the Residential Life Facilities – Sprinkler Installation and Miscellaneous Repairs at the Mansfield Apartment Complex (Attachment 20)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget of $4,000,000 for installation of a sprinkler protection system and miscellaneous repairs at the Mansfield Apartment Complex.

(14) Project Budget (Planning) for the Residential Life Facilities – Sprinkler Installation and Miscellaneous Repairs at the Northwood Apartment Complex (Attachment 21)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget of $3,000,000 for installation of a sprinkler protection system and miscellaneous repairs at the Northwood Apartment Complex.

(15) Project Budget (Planning) for the Residential Life Window Replacement Project for Alumni Quad and Buckley Hall (Attachment 22)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget of $2,100,000 for replacement of exterior windows for four student residential facilities in the Alumni Quad (Belden, Brock, Eddy and Watson Halls) and Buckley Hall.

(16) Project Budget (Planning) for SCADA (Supervisory Control and Data Analysis) System (Attachment 23)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the replacement of the Supervisory Control and Data Analysis (SCADA) in the amount of $1,300,000.

(17) Project Budget (Planning) for Steam Pit Replacements (Attachment 24)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the replacement of steam pits in the amount of $1,300,000.

(18) Project Budget (Planning) for Tie in Drum Heater on Boilers at CUP (Central Utility Plant) (Attachment 25)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for the tie in of drum heaters on boilers at the Central Utility Plant in the amount of $600,000.

(19) Project Budget (Planning) for West Hartford Campus Renovations/Improvements – Electrical Switchgear Replacement (Attachment 26)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Planning Budget for West Hartford Campus Renovations/Improvements – Electrical Switchgear Replacement in the amount of $550,000.

(20) Project Budget (Revised Planning) for the Mansfield Training School Improvements (Phase II) – Merritt Building (Attachment 27)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Revised Planning Budget for renovation of the Merritt Building at the Mansfield Training School (Depot Campus) in the amount of $2,510,000.

(21) Project Budget (Revised Planning) for the University of Connecticut Health Center Administrative Services Building (ASB) Renovation to Medical Services Building (Attachment 28)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Revised Planning Budget of $18,000,000 for the University of Connecticut Health Center Administrative Services Building (ASB), for clinical use as the new Medical Services Building.

(22) Project Budget (Revised Planning) for the University of Connecticut Health Center Dental School Renovation – Preclinical Teaching and Prosthetics Labs (Attachment 29)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Revised Planning Budget of $3,075,000 for the University of Connecticut Health Center Dental School Renovation – Preclinical Teaching and Prosthetics Lab.

(23) Project Budget (Design) for Sewage Treatment Plant Clarifier Repairs (Attachment 30)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Design Budget for the repairs to the Sewage Treatment Plant Clarifiers in the amount of $1,190,000.

(24) Project Budget (Design) for the University of Connecticut Health Center Main Cooling System Back-up Chiller Replacement (Attachment 31)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Design Budget of $800,000 for the University of Connecticut Health Center Main Cooling System Back-Up Chiller Replacement.

(25) Project Budget (Final) for the Code Safety Inspections – Summer 2007, Corrective Action Work (Attachment 32)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve a Final Budget of $2,200,000 for code corrective action for buildings on the Storrs, Depot, West Hartford and Law School campuses resulting from the summer 2007 safety code inspections.

(26) Project Budget (Final) for Law School Renovations/ Improvements – Façade Restoration (Attachment 33)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Final Budget of $19.0 million for the Law School Façade Restoration.

(27) Project Budget (Final) for the University of Connecticut Health Center Dental Implant Center Renovation (Attachment 34)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Final Budget of $2,555,000 for the University of Connecticut Health Center Dental Implant Center Renovation.

(28) Project Budget (Final) for the University of Connecticut Health Center Electric Heat Conversion Project (Attachment 35)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Final Budget of $2,740,000 for the University of Connecticut Health Center Electric Heat Conversion Project.

(29) Project Budget (Final) for the University of Connecticut Health Center Munson Road Renovation and Expanded Parking (Attachment 36)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the Final Budget of $4,350,000 for the University of Connecticut Health Center Munson Road Renovation and Expanded Parking.

(30) Project Cancellation – Project Budget (Planning) for the University of Connecticut Health Center Data Center Relocation to Munson Road (Attachment 37)

On a motion by Mr. Nayden, seconded by Mr. Barry, **THE BOARD VOTED** to approve the cancellation of the project entitled University of Connecticut Health Center Data Center Relocation to Munson Road, described in a Project Budget (Planning) approved by the Board of Trustees on November 15, 2005.

(c) Informational item:

(1) Construction Projects Status Report (Separate cover)

Vice-Chair Drotch left the Board meeting at this time, but subsequently called back.

5. Academic Affairs Committee Report

(a) Committee Chairman's report on Committee activities

Trustee Dennis-LaVigne gave the report of the Academic Affairs Committee on behalf of Vice-Chair Jacobs. Dr. Dennis-LaVigne reported that the Committee met this morning. The agenda items brought before the Committee were recommended to the full Board and were approved under the Consent Agenda. She directed Trustee attention to the Revised Policy and Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit and Revised Policy on Individual Conflicts of Interest in Research in Attachment 4. Dr. Dennis-LaVigne felt that it was important to emphasize that the State Statutes and now University policy require that members of the faculty bargaining unit obtain prior approval before engaging in any outside consulting activities. If this is not done, then those individuals will be subject to the jurisdiction of the Office of State Ethics.

Provost Nicholls discussed the establishment of the University of Connecticut Stem Cell Institute, which will be a cross-campus collaborative effort involving faculty from different schools and colleges.

The Committee heard an insightful presentation by Dr. Jeffrey Fisher, Director of the Center for Health, Intervention and Prevention (CHIP).

(b) Informational item:

(1) Establishment of the University of Connecticut Stem Cell Institute (Attachment 7)

6. Joint Audit and Compliance Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Nayden reported that the Joint Audit and Compliance Committee met on September 11. UHY, LLP presented their findings on the audit against UCONN 2000 expenditures for Fiscal Years 2003 through 2006. They also reviewed the Deferred Maintenance and equipment for the life of UCONN 2000 through 2006. The highlights of their findings include: 1) for 2003, there were similar findings as were reported in previous Blum Shapiro audits that included issues related to cost shifting, not reconciling CAPSTAT to the University's Financial Records System (FRS), all of which have been resolved; 2) for the period 2004 through 2006, positive improvements were noted in all areas, particularly budget approval processes, reconciliation, cost accounting, increased staffing, and revised policies and procedures; 3) Example of Cost Shifting: funding for 2003 and 2004 were taken from Deferred Maintenance accounts and shifted to named projects. This type of activity has been corrected and has did not occur in 2005 and in 2006, which is a very important improvement; 4) there were no reportable conditions regarding equipment accountability; 5) all previous findings by Blum Shapiro have been addressed; and 6) UHY gave an unqualified opinion as to the accuracy of financial reporting.

Mr. Bradley made a presentation to the Committee on the close out of previous findings made by the Governor's Commission on UConn's Review and Accountability, PricewaterhouseCoopers, and PinnacleOne. All findings and recommendations in these reports have been addressed.

Vice-Chair Nayden reported that an action plan has been developed to address a new set of requirements in the Certification Compliance Agreement (CCA) that was signed in June 2007 between the John Dempsey Hospital and the Department of Health and Human Services, Office of the Inspector General. The process is fully in place and the first annual report is due to the Department of Health and Human Services by June 2008.

There are ongoing discussions regarding examination on financial aid and the development of the Financial Aid Code of Conduct. He said that there is no difference of opinion with respect to the general philosophy, but they are working out some specific language revisions.

The University's internal audit is underway and the results will be presented at the next Committee meeting. He will report to the Board the final results.

Vice-Chair Nayden said that there are a number of external engagements that they are preparing for: 1) Seward and Monde for the annual NCAA audit; 2) KPMG for the annual financial report for the Health Center; 3) two RFPs are being processed for UCONN 2000 for Fiscal Year 2007 and an internal audit report for the Health Center.

7. Buildings, Grounds and Environment Committee Report

(a) Committee Chairman's report on Committee activities

(b) Item requiring Board discussion and approval:

(1) Conservation Agreement – 64-Acre Parcel North of the Former UConn Landfill (Attachment 38)

Vice-Chair Ritter directed Trustee attention to one action item under his report – Conservation Agreement - 64-Acre Parcel North of the Former UConn Landfill. The Agreement is between the University and the Connecticut Department of Environmental Protection. It outlines responsibility for oversight and the conditions and use limitations, which indicate that the land will be preserved for education, research and passive recreation. Vice-Chair Ritter clarified that the type of activities that would be permissible would be bird watching, bicycling, hiking, etc.

Vice-Chair Drotch rejoined the meeting by telephone.

Vice-Chair Ritter asked for Board approval on this item.

On a motion by Dr. Burrow, seconded by Mr. Martinez, **THE BOARD VOTED** to approve, acting through its Buildings, Grounds and Environment Committee, a Conservation Agreement (Agreement) between the University of Connecticut (UConn), as Grantor, and the Connecticut Department of Environmental Protection (DEP), as Grantee, to establish a preservation area that would conserve natural resources and limit land use on an undeveloped, UConn-owned 64-acre parcel located North of the former UConn landfill. The purpose of this Agreement is to permanently preserve this parcel in its "present natural and open condition" and to ensure its accessibility to the University community and general public for education, research and passive recreation. UConn would retain ownership of the parcel and the duty to maintain it, while DEP would be responsible for overseeing and enforcing compliance with the terms, conditions and use limitations specified in the Agreement.

Associate Vice President Callahan provided an update on University water supply and system matters. As a result of the improvements the University has made in its water system and the community's current conservation efforts, the University has used or produced approximately 11% less water this August compared to last year despite the severe conditions experienced this year. Vice-Chair Ritter reported that the University is saving approximately 80 – 100,000 gallons of water a day and thanked the University community for stepping up their conservation efforts.

The Draft Implementation Plan for 2007 Water and Wastewater Master Plan was reviewed and endorsed by the Committee prior to its submission to the Connecticut Department of Public Health. It included a schedule for implementing the priority recommendations of the Master Plan.

Chairman Rowe thanked Associate Vice President Callahan for his hard work and leadership in this effort.

Vice-Chair Ritter said that the Committee discussed the activities of the University of Connecticut Water and Wastewater Policy Advisory Committee (joint committee – UConn/Town of Mansfield). Among its several responsibilities, the Committee reviews and advises the administration in the disposition of requests for off campus connections to the University's water and sewer services. He said that this process has been misunderstood by some who believed that the Board of Trustees, not the administration, approved or disapproved of specific connection requests.

To rectify this matter, Mr. Ritter moved that all future correspondence conditionally or unconditionally granting a connection to the University's water or sewer services include the following language:

> That any vote does not constitute or convey an endorsement of the merits of the proposed use or project by the University or its Board of Trustees and may not be represented as such.

Mr. Barry seconded the motion. Mr. Barry felt that this recommendation was necessary to correct any misinterpretations by members of the public. He said that when the Water and Wastewater Policy Advisory Committee has approved a developer's request for water and/or sewer services, there has been, in some cases, the presumption that conveyed an endorsement by the University of the entire project, which was not accurate. He said that without the proposed language, it was possible that a developer could announce that the University endorsed the project. To avoid this scenario, it was suggested that this language be incorporated to clarify that the University is not endorsing a developer's project or plan. Rather it is acknowledging that its utility system(s) have sufficient capacity to support the project and the project comports with the Town of Mansfield's Plan of Conservation and Development. Ultimately, a project requires the review and approval of Mansfield's land use authorities and the granting of access the University's water or sewer system is contingent on the fulfillment of that approval and other technical and financial conditions. This would eliminate any misunderstanding that the University is approving or endorsing in advance development plans for which information is lacking.

THE BOARD VOTED to approve the following language regarding public connections to the University's water or sewer services:

> That any vote does not constitute or convey an endorsement of the merits of the proposed use or project by the University or its Board of Trustees and may not be represented as such.

Vice-Chair Ritter updated the Board on 400 Farmington Avenue. In previous discussions, the Board endorsed the principle of securing LEED certification for all new buildings. The development of this project was already underway at that time. The Health Center continues to evaluate the options and any additional construction costs to make the building LEED compliant and will come back to the Committee with some recommendations about what we should be doing for cost effectiveness.

The University received LEED Silver Certification for The Burton Family Football Complex and the Mark R. Shenkman Training Center, which is the first LEED project on campus.

The Committee also heard a student recreational facility update. The discussion consisted of putting out to bid the new basketball facility, master planning services for baseball, softball, and soccer, programming and planning services for a new student recreational facility.

Mr. Bradley provided an update on the $40 million deferred maintenance budget.

8. Construction Management Oversight Committee Report

(a) Committee Chairman's report on Committee activities

Vice-Chair Ritter reported that the Committee met on September 12. He asked Mr. Bradley to briefly comment on some of several issues. Vice-Chair Ritter reiterated that one of the agreements that the University has with the Governor's Office is that the administration will provide a monthly report of the status of inspection and remediation work. The University is hiring two more building and fire inspectors.

Mr. Bradley briefly summarized the summer safety inspection program, which included approximately 166 buildings. He confirmed that the building inspectors and fire marshals have completed their inspections and the University has completed a vast majority of the code correction work. The State Building Inspector has also reviewed and approved the work. The administration will provide monthly reports to the Construction Management Oversight Committee as well as the Governor's Office.

Chairman Rowe mentioned that Mr. Charles Urso, who was appointed by Governor Rell, had previously thought that he may have to resign from the Committee because of a potential conflict. The State Ethics Office has ruled that there is no conflict of interest, so he will continue to be a member.

9. Health Center Report

(a) Report on Health Center activities

Health Center Board of Directors Chair Burrow reported that former President Austin instituted a search for the position of Executive Vice President of Health Affairs and Dean of the School of Medicine. Dr. Richard Garibaldi will chair the search committee and the firm of Isaacson, Miller has been selected to facilitate the search. The committee held its first meeting and is aggressively pursuing candidates.

(b) Informational items:

(1) Health Center Board of Directors Recognitions (Attachment 39)

Dr. Burrow directed Trustee attention to Attachment 39, which illustrates resolutions recognizing three founding members of the Health Center Board of Directors who have completed their terms – Robert J. Hennessey, Claire R. Leonardi, and David P. Marks. Dr. Burrow expressed his gratitude to Ms. Leonardi, who was an extraordinary member.

10. Student Life Committee Report

(a) Committee Chairwoman's report on Committee activities

Vice-Chair Bailey indicated that the Student Life Committee was meeting today following the Board meeting. She asked Trustees to review the handout she provided regarding the two articles in the *Daily Campus*. She congratulated Trustee Ross Gionfriddo for his response to a commentary written by Brandon Nadeau.

11. Institutional Advancement Committee Report

(a) Committee Chairman's report on Committee activities

Trustee Barry provided the report of the Institutional Advancement Committee on behalf of Vice-Chair Treibick. The Committee did not meet prior to this Board meeting.

He reported that the Alumni Association held a retreat on August 25 at the Avery Point Campus. Their main focus was to assess the current status of the Association and where they hope to be in three to five years. The retreat resulted in the following proposals: 1) restructuring and reassignment of duties regarding personnel, which will produce a more functional and efficient organization, and 2) the development of partnership programs with various segments of the University on an individual, school/college, or division basis.

(b) Development Progress Executive Summary (Attachment 40)

Trustee Barry directed Trustee attention to Attachment 40, which is the Development Progress Executive Summary. He noted that the document is broken down into three sections – Progress Toward Goals, Campaign Progress, and State Match Progress, which is currently in limbo. This is only a two-month report, so some of the figures are not as significant as they will be six to nine months from now.

UConn Foundation President Martin also emphasized that it is still very early in the fiscal year. His administration has been engaged in planning for the transition of President Hogan and for meetings with significant donors. They have set up five different donor-sponsored receptions to be held in Greenwich, Hartford, Old Lyme, New York City, and Washington, D.C. Members of the Board will be invited to one of the receptions depending on their schedules. These receptions represent an important effort to connect President Hogan with many of the alumni and corporate leaders who are significant contributors to the University.

Chairman Rowe added that President and First Lady Bush will be holding a reception at the White House for President Hogan. There may be an opportunity for President Hogan to meet a number of people from government as well as private contributors while in Washington.

12. Adjournment

Chairman Rowe reminded University staff that the venue for the August Budget Workshop meeting was changed from the Avery Point Campus to Storrs. He asked that staff consider an alternative meeting to hold at the Avery Point Campus. Chairman Rowe announced that the next meeting of the Board is scheduled for Tuesday, December 4, 2007.

He reminded Trustees and staff that Dean Woods has arranged for a tour at the Benton Museum.

There being no further business appearing, the Board meeting adjourned at 2:01 p.m.

Respectfully submitted,

Louise M. Bailey
Secretary