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MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES

THE UNIVERSITY OF CONNECTICUT

University of Connecticut
Merlin D. Bishop Center
Storrs, Connecticut

January 18, 2002

OPEN SESSION

Call to order at 11:00 a.m.

The meeting was called to order at 11:00 a.m. by Chairman Roger Gelfenbien. Trustees present were: James Abromaitis, Christopher Albanese, Louise Bailey, Philip Barry, Shirley Ferris, Linda Gatling, Christopher Hattayer, Claire Leonardi, Michael Martinez, and Frank Napolitano.

Trustees William Berkley, Michael Cicchetti, Lenworth Jacobs, David O'Leary, Denis Nayden, Theodore Sergi,Richard Treibick, and Anne George, who represents the Governor's Office, were absent from the meeting.

University Staff present were: President Austin, Chancellor and Provost for University Affairs Petersen, Vice President for Institutional Advancement Allenby, Vice President for Financial Planning and Management Aronson, Vice Chancellor for Business and Administration Dreyfuss, Vice Chancellor for Student Affairs Triponey, Assistant Attorney General Shapiro, Dr. Schurin, and Ms. Locke.

All actions taken were by unanimous vote of the Trustees present.

EXECUTIVE SESSION

1. Discussion of personnel matters, collective bargaining, and pending litigation.

On a motion by Ms. Bailey, seconded by Mrs. Gatling, **THE BOARD VOTED** to go into Executive Session at 11:05 a.m. to discuss matters that would result in the disclosure of public records described in Section 1-19 (b) of the Connecticut General Statutes. The Chairman noted that on the advice of counsel only staff members whose presence was necessary to provide their opinion would be permitted to attend Executive Session.

Trustees present were: Abromaitis, Albanese, Bailey, Barry, Ferris, Gatling, Gelfenbien, Hattayer, Leonardi, Martinez, and Napolitano.

President Austin, Chancellor and Provost for University Affairs Petersen, Vice President for Institutional Advancement Allenby, Vice President for Financial Planning and Management Aronson, Vice Chancellor for Business and Administration Dreyfuss, Vice Chancellor for Student Affairs Triponey, Assistant Attorney General Shapiro, and Dr. Schurin were also present.

2. Close Executive Session and recess for lunch.

Executive Session ended at 12:20 p.m. and the Board returned to Open Session at 1:05 p.m. Trustees Berkley, Cicchetti, and O'Leary, and Attorney Anne George, who represents the Governor's Office, participated by telephone.

All actions taken were by unanimous vote of the Trustees present.

1. Public Participation

There were no members of the public who wished to address the Board.

2. Chairman's Report

(a) Minutes of the meeting of November 16, 2001

On a motion by Mr. Martinez, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the minutes of the meeting of November 16, 2001.

(b) Consent Agenda Items:

On a motion by Mr. Cicchetti, seconded by Mr. Martinez, **THE BOARD VOTED** to approve the following items listed on the Consent Agenda.

(1)	Contracts and Agreements for the Storrs-based programs	(Attachment 1)
(2)	Student Union Building Fee	(Attachment 2)
(3)	Masters' of Science Degree in Applied Genomics within the College of Liberal Arts and Sciences	(Attachment 3)
(4)	Movement of the Situs of Degree Granting for a Baccalaureate Degree in Art History from the College of Liberal Arts and Sciences, to the School of Fine Arts	(Attachment 4)
(5)	Renaming of the Master of Public Affairs Degree within the College of Liberal Arts and Sciences, to "Master of Public Administration"	(Attachment 5)
(6)	Renaming of the Urban Studies Undergraduate Major within the College of Liberal Arts, to "Urban and Community Studies"	(Attachment 6)
(7)	Collective Bargaining Agreement Between the University of Connecticut and the University of Connecticut Chapter of the American Association of University Professors	(Attachment 7)
(8)	Intersession Agreement with the AAUP, January 2002, 2003	(Attachment 8)
(9)	Approval of Proposed Changes to the Guidelines for the Operation of the School of Medicine	(Attachment 9)

(c) Personnel matters (Storrs-based programs and the Health Center) (Attachment 10)

On a motion by Mr. Martinez, seconded by Mr. Hattayer, **THE BOARD VOTED** to approve the personnel action recommendations.

(1) Promotion, tenure and reappointment lists

(2) Sabbatics

(3) Emeritus Faculty Retirements

(4) Informational matters

3. Academic Affairs Committee Report

(a) Committee Chairman's report on Committee activities

Chairman Gelfenbien presented the report of the Academic Affairs Committee on behalf of

Mr. Nayden. He noted that the Committee approved several academic degree items listed on the Consent Agenda.

4. Financial Affairs Committee Report

(a) Report on Committee activities

Chairman Gelfenbien presented the report of the Financial Affairs Committee on behalf of Dr. Jacobs. The Committee discussed the Student Union Building Fee and recommended approval by the Board. The Committee also reviewed the Capital Projects Status Report and the UCONN 2000 report that will be submitted to the Governor and to the Legislature. There was discussion about changing the format of the information presented in the Capital Projects Status Report. The Board also received updates on several UCONN 2000 projects.

5. President's Report

President Austin directed Trustee attention to two articles, one of which is an Op-Ed piece by Chris Powell, managing editor of the *Journal Inquirer* in Manchester, and also a variation that appeared in *The Providence Sunday Journal*. He noted that both articles were very flattering to the University and make the same point that Chairman Gelfenbien made regarding the implications of UConn's progress. President Austin noted that the article written by Chris Powell is both critical and thoughtful. He commented that Mr. Powell tends to look skeptically at public expenditures and has been critical of the University in past years, but in this article he has delved into not only the building program, but into the academic implications of the physical changes. President Austin said that the article about the new hotel presented in *The New York Times* Sunday edition is also noteworthy. President Austin encouraged Board members to visit the Nathan Hale Inn, which has approximately 100 rooms and an elegant public restaurant area on the ground level that sends a good message for the University.

President Austin noted that Board members received from Vice President Aronson an assessment of the budget recisions to date. He noted that Connecticut, like many other states, is undergoing some economic difficulties and he is hopeful that the past will not repeat itself. Connecticut tends to be affected by a recession later than most states and is usually one of the last states to come out one. Different state agencies are providing differential assessments as to whether the State is six months or 18 or 24 months from moving back into a stronger revenue situation. President Austin emphasized that the University is part of the public enterprise; therefore, we must share the burden with all other public agencies and make forceful arguments to our elected leadership that we will not be able to pursue opportunities or fulfill the potential of UCONN 2000 if across the board cuts are made. President Austin will update the Board regarding further developments.

President Austin was pleased to report that the Mansfield Town Council and the Mansfield Planning and Zoning Commission have approved all the projects that the University has put before them. He noted that there continues to be a small group of vocal individuals who had a negative impact on certain projects, particularly in those areas where the University is attempting to build partnership relationships, the most notable being with Pfizer. President Austin noted that the new hotel venture is a visible manifestation of a private partner that was not dissuaded from becoming associated with UConn.

President Austin announced that he and Chancellor Petersen have proposed a new environmental initiative that will include the appointment of an environmental officer, who will report directly to the Chancellor and will be visible throughout the campus. The University is now at a stage where it should be concerned not just with budgetary, academic, and construction issues, but also with environmental, political, and public relations matters as well. President Austin noted that a few weeks ago the environmental proposal

was announced to the press. Representative Stratton, the Chair of the House Environmental Committee, and Senator Don Williams have acknowledged the proposal. The administration has spoken with other State officials, including Commissioner Rocque, the Fund for the Environment, and the Council on Environmental Quality. President Austin noted that this endeavor is a proactive gesture of good will and a genuine concern for the environment.

President Austin reported that the University has received good news from Moody's Investors Service regarding an assessment of the University's financial standing. Moody's has upgraded University bonds from "A1" to "Aa3." Moody's indicated that the reasons for the bond rating upgrade were the favorable student demand leading to pricing flexibility and a balanced financial operation. This rating puts the University at a level higher than the State at large. President Austin acknowledged the efforts of Vice President Aronson and the financial staff responsible for the University's financial condition.

President Austin noted that he and other members of the administration have reported to the Board on many occasions about the level of federal support for research. The University is seeking to maximize alternative and new sources of funding for University operations under the assumption that philanthropic giving will continue to increase and that State funding in Connecticut will remain about the same with the possibility of marginal increases. The State revenue situation has to solidify before any large increases will occur. Tuition, especially out-of-state, has its limits as well. Externally supported research is imperative not only for financial reasons, but more importantly for the academic integrity of the institution. Most of the University's funding, which totals a few million dollars from the Federal Government, is from competitive research activity. The University was fortunate this past year to receive non-competitive appropriations as well. Although the University was anticipating $33 million, we were successful in acquiring $23.5 million, which is a testimony to the effectiveness of many members of Connecticut's Congressional delegation and their dedication to UConn. President Austin noted that he has thanked each of them individually, but he wanted to publicly acknowledge their support.

President Austin introduced the Campaign UConn video entitled "Countless Reasons, Endless Possibilities." He called attention to the Governor's extremely strong statements about the progress at the University, particularly the Capital Campaign.

Trustee Berkley joined the meeting at this time.

Trustee O'Leary left the meeting at this time.

Chairman Gelfenbien congratulated those who produced the video. He noted that it accurately reflects the University's story about what is happening and where we are going.

6. Health Affairs Committee Report

(a) Committee Chairwoman's report on Committee activities

Vice-Chair Leonardi reported that the Health Affairs Committee met on January 8, 2002. She noted that the Health Center is progressing with the Signature Programs as well as with a partnership to build a Musculoskeletal Institute. Vice-Chair Leonardi noted that the Certificate of Need for the Musculoskeletal Institute and the Ambulatory Surgery Center has been submitted to the Office of Health Care Access. Vice-Chair Leonardi expects to hear by February.

Vice-Chair Leonardi also reported that the Connecticut Health initiative continues to move forward and has increased its partnerships with State agencies. She noted that she and Executive Vice President Deckers were discussing earlier the recent announcement of federal grants to the Health

Center, which total approximately $7.5 million. She asked Executive Vice President Deckers to provide the Board with more details.

Vice-Chair Leonardi asked Executive Vice President Deckers if the $7.5 million in grant awards was part of the $23 million President Austin noted earlier.

Executive Vice President Deckers responded that the $7.6 million awarded to the Health Center is part of the $23 million. He elaborated on the four initiatives underway at the Health Center: 1) Infectious diseases, 2) the study of ergonomics, or problems that occur as a result of repetitive stress, 3) urban health initiative with Yale University, involving routine medical care and mental health care, 4) the prison health initiative, which is responsible for the health care of the prison population for the State.

Vice-Chair Leonardi noted that overall research revenue is up $23.5% compared to last year. Last year the Health Center's major concern was clinical operations. This year the clinical operations were approximately $1.5 million above budget through November. The John Dempsey Hospital was also in excess of revenues over expenses. Despite the State's rescissions, the Health Center is about at budget and the administration expects to continue revenue enhancements and reduce expenses to bring the budget in line by the end of the year.

The Health Center is making progress on identifying new Board of Directors members. The administration expects the new Board will be in place by June and will receive a comprehensive orientation. The only action item before the Board is a change in the University of Connecticut *Law and By-Laws* that concerns the Board membership terms. Vice-Chair Leonardi directed Trustee attention to Attachment 11. Vice-Chair Leonardi explained the original language stated that the three members appointed by the Governor would serve concurrent with the Governor's term. After much discussion, the Committee decided that this creates the potential problem of a loss of experience whenever the governorship changes, and also may create difficulties in recruitment of members for unexpired terms where the vacancy occurs in the latter stages of the Governor's term. Therefore, the proposed amendment provides for staggered three-year terms. Vice-Chair Leonardi asked for approval of the notification of a change to be tabled until final approval the April Board meeting.

On a motion by Mr. Martinez, seconded by Mr. Barry, **THE BOARD VOTED** to approve the notification of a By-Law change.

(b) Item requiring Board discussion and approval:

(1) Recommendation for Amendment to the *University of Connecticut Laws and By-Laws* (Attachment 11)

7. Student Life Committee Report

(a) Committee Chairwoman's report on Committee activities

Vice-Chair Bailey reported that the Student Life Committee met on December 3, 2001. The Committee heard a report about campus safety from Ms. Kathleen Holgerson and Ms. Lorraine Trippodi from the Women's Center. They described the various resources available on campus and specifically addressed the issues of sexual assault, dating violence, and stalking. The cases reported for the fall semester have increased from last year. Mr. John Saddlemire, Dean of Students, and Ms. Karen Poulin outlined some of the kinds of cases that have gone before the Judicial Board and how the new Conduct Code is working for both the victim and for the accused.

Vice Chancellor Triponey explained that the Conduct Code is new and will need to be reviewed for possible revisions. If there are changes, they will come before the Student Life Committee again for approval.

Dr. Triponey also informed the Committee that she has asked members of the Vice Chancellor's student leadership cabinet to meet and to discuss ways to deal with the growing concern over abuse of drugs and alcohol on campus. She also suggested that the student affairs staff meet separately and then jointly with the student leaders to discuss ways that they can work together to help the campus community address this issue.

Chief Hudd discussed the increase in arrests and both on and off campus this year and in the level of violent and criminal activity. He noted that Police Department staffing has not kept pace with the University's enrollment growth and changing nature of the campus.

Vice-Chair Bailey also noted that Trustee Hattayer informed the Committee that several students are working on starting up a Students Against Drunk Drivers (SADD) Chapter, which should be on line by next semester.

Ms. Bailey encouraged Board attendance to the Student Life meetings.

8. Institutional Advancement Committee Report

(a) Committee Chairman's report on Committee activities

Trustee Napolitano presented the report of the Institutional Advancement Committee. He reported that the Committee met via teleconference on Tuesday, January 8, 2002. Mr. Nevin Kessler provided the Committee with a preliminary development update, which indicated gift receipts of $22.1 million as of December 31, 2001. The Foundation reports a final year-end figure of $23.9 million, a 14% decline in gift receipts over the previous year and an increase over FY 2000. Trustee Napolitano directed Trustee attention to a preliminary year-end gift report in Attachment 14.

Mr. Kessler also reported that the biggest challenge for FY 2002 has been in the area of major gifts to endowment as most of these gifts are made from appreciated assets. It is anticipated that UConn will document $12.3 million in match eligible gifts toward the State matching program, which should result in $6.1 million of the $7.5 million available to the University in calendar year 2001.

There has been a positive trend in unrestricted giving and the total number of donors supporting the campaign is up 16% over the same time last year.

The Committee discussed the uncertainty of the equity markets and the need of not being overly optimistic. Vice President Allenby has indicated that it is still too early in the fiscal year to make any adjustments to cash flow predictions, but they will closely monitor activity over the next 30 – 60 days, which should provide a clearer picture of possible cash flow and Campaign activity.

Chairman Gelfenbien asked Vice President Allenby if the current fiscal year budget is at risk.

Vice President Allenby asked Chairman Gelfenbien if he was referring to the cash flow budget.

Chairman Gelfenbien responded that he was.

Vice President Allenby responded that it is still too early to determine if we are not on target. He believes that they will reach the targeted projections for this year in terms of new business activity and cash flow. Vice President Allenby expressed his concern that that the University is down 14%

in gift receipts compared to the same time last year. However, the Foundation is beginning to see the level of confidence return along with the good equity markets in the last quarter. He emphasized that his staff will be monitoring the activity very closely in the next four to six weeks.

Trustee Napolitano directed Trustee attention to several naming opportunities in Attachments 12 and 13. On a motion by Mr. Albanese, seconded by Ms. Bailey, **THE BOARD VOTED** to approve the following naming recommendations.

(b) Items requiring Board discussion and approval:

(1) Facilities Naming Recommendations: (Attachment 12)

(a) Northeast Utilities Foundation Board Room (Avery Point)

(b) John and Carla Klein Classroom for Teacher Education (Neag)

(c) Dave Ivry Seminar Classroom (School of Business)

(2) Professorship Naming Recommendations in the School of Business: (Attachment 13)

(a) GE Capital Professorship of Business

(b) Robert Cizik Professorship in Technology Management

(c) Gift Report (Attachment 14)

Trustee Napolitano also reported that the Committee also reviewed the progress of the Alumni Association's bylaw revision project, which is reported to be on schedule. The Committee anticipates approval of the proposed revisions in late February.

Vice-Chair Leonardi asked Vice President Allenby to comment on the progress of the fundraising activity for the new School of Business Building and for the Neag School of Education.

Vice President Allenby responded that the goal of $4.5 million was reached and was exceeded for the new School of Business Building. He noted that there are some questions about the actual cash flow, but the commitments have been made and are now coming in. The Foundation has not seen anything that would indicate that those commitments would not be paid as scheduled. Beyond the building, Vice President Allenby noted that there has been very good endowment and operating support for the School.

He noted that the Foundation is are very optimistic about the endowment and operating activities for the Neag School of Education. Dean Schwab has been very instrumental in this endeavor and has enlisted the help of the Dean's Board and the School's staff. Vice President Allenby expressed his concerns that they have not had great success in fundraising activity for the renovations or the addition to the Gentry building. The Foundation is not optimistic about meeting the goal set for the building renovations.

Ms. Leonardi asked if the money raised for the School of Business would go into a pool for building renovations. She also asked for confirmation that UCONN 2000 money was used to finish the building project.

Vice Chancellor Dreyfuss responded that $2 million of UCONN 2000 money was used to finish the building at the level of a $10 million job. The $2 million will become the University's contribution if the Dean is not able to raise the money.

Vice President Aronson clarified that Vice-Chair Leonardi was asking about the School of Business building not the Gentry building.

Vice-Chair Leonardi asked where the funds would go.

Vice Chancellor Dreyfuss responded that the money will go back into the deferred maintenance pool, which is where the loan was taken to complete the School of Business project.

Trustee Hattayer asked Chancellor Petersen or President Austin to comment on the latest round of budget recisions. He asked if they expected more cuts and how the University has addressed them.

Vice President Aronson responded that after the Governor's address and the start of the Legislative Session the administration will know more about the outcome for the remainder of this year and projections for next year. The administration has maintained tight control of the budget as was done last year. Vice President Aronson projects that the University will remain on budget.

9. Adjournment

The next meeting of the Board of Trustees will be scheduled for Tuesday, April 16, 2002 at 1:00 p.m. at the School of Social Work, West Hartford, Connecticut.

There being no further business, the Board meeting adjourned at 1:50 p.m.

Respectfully submitted,

Louise A. Bailey

Louise Bailey
Secretary